

**CENTRAL OKLAHOMA MASTER  
CONSERVANCY DISTRICT**  
AGENDA FOR REGULAR MEETING  
Thursday, January 4, 2024  
6:30 P.M.

Kyle Arthur, General Manager  
12500 Alameda Dr  
Norman, OK 73026



To accommodate the public, including presenters of agenda items, who wish to participate but not to attend the meeting in person, videoconference and teleconference capability is being made available, but videoconference and teleconference connections and quality of connections are outside the control of the District and cannot be assured. Although this accommodation is provided, members of the public including presenters are welcome to attend the meeting in-person.

Microsoft Teams meeting information:

Join on your computer, mobile app, or room device

Meeting ID: 285 294 206 267

Passcode: 6T7KWU

Board meeting packet can be found on website: <https://comcd.net>. This agenda was posted in the notice enclosure outside the COMCD office gate at 10:30 AM on Friday, December 29, 2023.

**A. Call to order and roll call**

**B. Statement of compliance with Open Meeting Act**

**C. Administrative**

1. Public comment

This is an opportunity for the public to address the COMCD board. Due to Open Meeting Act regulations, board members are not able to participate in discussion during this comment period. Comments will be accepted from those persons attending in-person and through the virtual meeting option. You are required to sign-up in advance of the meeting in order to be eligible to make comment. You may sign-up by calling the COMCD office at 405-329-5228 during regular business hours (8:00 AM – 4:30 PM) or by contacting the office via email at [admin@comcd.net](mailto:admin@comcd.net). Public comment sign-up will end at 12:00 PM (noon) CDT on Thursday, January 4, 2024. Any request received after that will not be eligible. When signing up, you must provide your name, city of residence and topic about which you wish to speak. Each commenter

will be limited to three minutes and the entire comment period will not exceed one hour. Eligible commenters will be called to address the board in the order in which their request was received. Given the one-hour time limit, not all commenters are guaranteed the opportunity to speak. Written comments will also be accepted and kept as a matter of record for the meeting. If all commenters have addressed the board prior to the one-hour time limit, the public comment agenda item will be closed, and the balance of the time yielded back to the remainder of the agenda. The President reserves discretion during the meeting to make an adjustment to the public comment schedule.

## 2. Treasurer Report- October and November 2023 financials

### **D. Action:**

Pursuant to 82 Okla. Statutes, Section 541 (D) (10), the Board of Directors shall perform official actions by Resolution and all official actions including final passage and enactment of all Resolutions must be present at a regular or special meeting. The following items may be discussed, considered, and approved, disapproved, amended, tabled or other action taken:

3. Minutes of the regular board meeting held on Thursday, November 2, 2023, and corresponding Resolution
4. Authorization for the General Manger to develop and solicit Invitations to Bid for new equipment buildings, and corresponding Resolution
5. Authorization for the General Manger to proceed with appropriate actions, including the solicitation of Invitations to Bid, if necessary, to develop firm cost estimates for cleanout of the Relift Forebay, and corresponding Resolution

### **E. Discussion**

6. Legal Counsel's Report
7. General Manager's Report
8. President's Report
9. New business (any matter not known prior to the meeting, and which could not have been reasonably foreseen prior to the posting of the Agenda)

### **F. Adjourn**

Item C.2.

# Central Oklahoma Master Conservancy District

## Balance Sheet

As of October 31, 2023

	TOTAL
<b>ASSETS</b>	
Current Assets	
Bank Accounts	
1022-BANCFIRST #0014	649,645.05
1023-BANCFIRST #3940	214,161.53
1050-LPL FINANCIAL	0.00
1051-LPL ACCT# -2885 AT MARKET	3,810,279.39
1052-LPL ACCRUED INTEREST	28,043.93
<b>Total 1050-LPL FINANCIAL</b>	<b>3,838,323.32</b>
<b>Total Bank Accounts</b>	<b>\$4,702,129.90</b>
Other Current Assets	
1919-T BILLS	1,313,890.71
1920.1-(BANC1ST)DWSRF ESCROW	8,490.84
1920.2-(BANC1ST)PIPELINE ESCROW	40,543.87
1930 EMPLOYEE CASH ADVANCES	1,127.12
<b>Total Other Current Assets</b>	<b>\$1,364,052.54</b>
<b>Total Current Assets</b>	<b>\$6,066,182.44</b>
Fixed Assets	
2000-WATER SUPPLY ASSETS	
BUILDING AND STRUCTURES	54,811.23
DAM AND RESERVOIR	4,605,177.00
EQUIPMENT AND FENCE	31,209.74
NEW DEL CITY PIPELINE	6,847,316.73
PIPELINE	3,402,225.92
PUMPING PLANT	1,593,951.30
<b>Total 2000-WATER SUPPLY ASSETS</b>	<b>16,534,691.92</b>
2010-TRANSFERRED FROM BUREC	
OFFICE FURNITURE & FIXTURES	1,326.00
SHOP TOOLS	853.00
<b>Total 2010-TRANSFERRED FROM BUREC</b>	<b>2,179.00</b>
2020-OTHER PURCHASED ASSETS	
BUILDINGS,STRUCTURES & ROADS	2,065,006.87
OFFICE EQUIPMENT	110,083.83
PLANT AND DAM EQUIPMENT	5,349,573.81
VEHICLES AND BOATS	683,148.83
<b>Total 2020-OTHER PURCHASED ASSETS</b>	<b>8,207,813.34</b>
2030-ALLOWANCE FOR DEPRECIATION	-10,886,811.09
<b>Total Fixed Assets</b>	<b>\$13,857,873.17</b>

# Central Oklahoma Master Conservancy District

## Balance Sheet

As of October 31, 2023

	TOTAL
<b>Other Assets</b>	
DEBT ISSUANCE COSTS	38,905.00
DEFERRED OUTFLOWS-PENSION	85,052.02
DWSRF REPYMTS DUE	
DEL CITY PIPELINE	4,589,136.33
ENERGY PROJECT	306,339.01
<b>Total DWSRF REPYMTS DUE</b>	<b>4,895,475.34</b>
NET PENSION ASSET	724,213.00
<b>Total Other Assets</b>	<b>\$5,743,645.36</b>
<b>TOTAL ASSETS</b>	<b>\$25,667,700.97</b>
<b>LIABILITIES AND EQUITY</b>	
Liabilities	
Current Liabilities	
Accounts Payable	
4000-CURRENT CLAIMS PAYABLE	88,544.01
<b>Total Accounts Payable</b>	<b>\$88,544.01</b>
Credit Cards	
D Carpenter CC 7582	175.84
D Underwood CC 8490	391.56
J Neyman CC 7590	570.04
K Arthur CC 1984	1,921.67
S McKinney CC 7608	249.37
T Carr CC 2834	2,126.13
<b>Total Credit Cards</b>	<b>\$5,434.61</b>
Other Current Liabilities	
4000.1-DEFERRED PENSION COSTS	423,363.00
4000.2-MISC PENSION PAYABLES	3,717.38
4002-DWSRF INTEREST PAYABLE	
4002.2 DEL CITY PIPELINE	9,857.26
<b>Total 4002-DWSRF INTEREST PAYABLE</b>	<b>9,857.26</b>
4010-PAYROLL LIABILITIES	0.00
4014-RETIREMENT PLAN PAYABLE	5,933.50
4016-GROUP INSURANCE PAYABLE	-574.02
<b>Total 4010-PAYROLL LIABILITIES</b>	<b>5,359.48</b>
4017-COMPENSATED ABSENCES	29,121.11
4200-DEFERRED INFLOWS-PENSION	50,495.00
<b>Total Other Current Liabilities</b>	<b>\$521,913.23</b>
<b>Total Current Liabilities</b>	<b>\$615,891.85</b>

# Central Oklahoma Master Conservancy District

## Balance Sheet

As of October 31, 2023

	TOTAL
Long-Term Liabilities	
4020-CONTRACTS PAYABLE	
4055-DWSRF LOAN (ENERGY)	
4075-DWSRF LOAN (ENERGY)	284,425.31
<b>Total 4055-DWSRF LOAN (ENERGY)</b>	<b>284,425.31</b>
4080-DWSRF LOAN ( PIPELINE)	0.00
4085-DWSRF LOAN (PIPELINE)	4,651,815.79
<b>Total 4080-DWSRF LOAN ( PIPELINE)</b>	<b>4,651,815.79</b>
<b>Total 4020-CONTRACTS PAYABLE</b>	<b>4,936,241.10</b>
<b>Total Long-Term Liabilities</b>	<b>\$4,936,241.10</b>
<b>Total Liabilities</b>	<b>\$5,552,132.95</b>
Equity	
4806.5 UNRESTRICTED SURPLUS	
4807-UNRESTRICTED SURPLUS	15,890,664.25
4808-FYE '23 ADJUSTS-PRIOR YRS	48,684.69
<b>Total 4806.5 UNRESTRICTED SURPLUS</b>	<b>15,939,348.94</b>
Retained Earnings	4,350,595.24
Net Income	-174,376.16
<b>Total Equity</b>	<b>\$20,115,568.02</b>
<b>TOTAL LIABILITIES AND EQUITY</b>	<b>\$25,667,700.97</b>

**Central Oklahoma Master Conservancy District**  
**Profit and Loss**  
**July - October, 2023**

	Aug 2023	Sep 2023	Oct 2023	Total for the Fiscal Year
<b>Income</b>				
<b>4900-ASSESSMENTS</b>				
<b>4901-MUNI SHARE, OPERATING COST</b>				
4902-DEL CITY	15,593.20	15,514.25	15,514.25	62,057.00
4903-MIDWEST CITY	39,871.53	39,669.50	39,669.50	158,678.00
4904-NORMAN	43,227.01	43,008.00	43,008.00	172,032.00
<b>Total 4901-MUNI SHARE, OPERATING COST</b>	<b>\$ 98,691.74</b>	<b>\$ 98,191.75</b>	<b>\$ 98,191.75</b>	<b>\$ 392,767.00</b>
<b>4905-MUNI SHARE, POWER</b>				
4906-DEL CITY	6,585.45	6,189.87	7,395.95	20,171.27
4907-MIDWEST CITY	36,776.85	38,048.55	34,621.84	109,447.24
4908-NORMAN	43,657.91	41,707.83	43,655.23	129,020.97
<b>Total 4905-MUNI SHARE, POWER</b>	<b>\$ 87,020.21</b>	<b>\$ 85,946.25</b>	<b>\$ 85,673.02</b>	<b>\$ 258,639.48</b>
<b>Total 4900-ASSESSMENTS</b>	<b>\$ 185,711.95</b>	<b>\$ 184,138.00</b>	<b>\$ 183,864.77</b>	<b>\$ 651,406.48</b>
4921--BOAT DOCK RENT INCOME	1,200.00	600.00	600.00	2,400.00
4923-INVEST INT DIVS & GAINS	12,300.00	15,453.98	15,471.53	49,693.07
4936-OK DEPARTMENT OF TOURISM & REC (OTRD)		17,171.00		17,171.00
<b>Total Income</b>	<b>\$ 199,211.95</b>	<b>\$ 217,362.98</b>	<b>\$ 199,936.30</b>	<b>\$ 720,670.55</b>
<b>Gross Profit</b>	<b>\$ 199,211.95</b>	<b>\$ 217,362.98</b>	<b>\$ 199,936.30</b>	<b>\$ 720,670.55</b>
<b>Expenses</b>				
<b>5000-PERSONNEL</b>				
5000.1-EMPLOYEES' WAGES	43,203.02	43,203.02	43,203.02	177,788.07
5009-EMPLOYEES' RETIREMENT	4,542.72	4,542.72	4,542.72	19,232.38
5011-PAYROLL TAXES	3,264.10	3,231.26	3,231.26	14,840.49
5012-TRAINING, EDUCATION&TRAVEL	149.00	1,659.47	257.67	3,521.39
5013-UNIFORM & BOOTS ALLOWANCE	11.98	492.13	286.97	791.08
5014-EMPLOYEE HEALTH, ETC, INS.	4,178.07	4,178.07	4,178.07	16,712.28
<b>Total 5000-PERSONNEL</b>	<b>\$ 55,348.89</b>	<b>\$ 57,306.67</b>	<b>\$ 55,699.71</b>	<b>\$ 232,885.69</b>
<b>5100-MAINTENANCE</b>				
5101-PLANT& DAM R&M, SUPPLIES	1,038.96	103.80	743.97	2,335.78
5103-VEHICLE OPS, R&M	3,189.25	258.37	1,660.18	5,146.78
5104-BUILDINGS ROADS & GROUNDS	778.14	954.96	2.59	4,254.79
5106-EQUIPMENT R&M, RENTAL	15,963.02	701.88	1,126.41	18,875.01
5109-BOATHOUSE MAINTENANCE			1,873.76	2,373.76
<b>Total 5100-MAINTENANCE</b>	<b>\$ 20,969.37</b>	<b>\$ 2,019.01</b>	<b>\$ 5,406.91</b>	<b>\$ 32,986.12</b>
<b>5200-UTILITIES</b>				
5201-TELEPHONE,PAGING,IT SERVIC	1,441.97	2,207.51	1,822.80	7,139.29
5204-ELECTRICITY	1,700.95	765.04	609.36	3,171.35
5206-WASTE REMOVAL	97.32	97.32	97.32	389.28
<b>Total 5200-UTILITIES</b>	<b>\$ 3,240.24</b>	<b>\$ 3,069.87</b>	<b>\$ 2,529.48</b>	<b>\$ 10,699.92</b>
<b>5300-INSURANCE AND BONDS</b>				
5301-INSURANCE		3,497.00		3,497.00

Total 5300-INSURANCE AND BONDS	\$	0.00	\$	3,497.00	\$	0.00	\$	3,497.00
5400-ADMINISTRATIVE EXPENSE								0.00
5401-OFFICE SUPPLIES, MATERIALS		1,253.31		1,729.29		1,492.25		5,824.70
5404-WATERSHED IMPROVEMENT (LTWA)								25,000.00
Total 5400-ADMINISTRATIVE EXPENSE	\$	1,253.31	\$	1,729.29	\$	1,492.25	\$	30,824.70
5500-PROFESSIONAL SERVICES								
5501-LEGAL		900.00		120.00		1,180.00		2,200.00
5502-ACCOUNTING AND AUDIT		3,008.14		1,008.14		1,008.14		7,610.36
5503-CONSULTANTS AND ENGINEERS		1,926.74		3,252.06		4,875.75		10,054.55
Total 5500-PROFESSIONAL SERVICES	\$	5,834.88	\$	4,380.20	\$	7,063.89	\$	19,864.91
5600-WATER QUALITY SERVICES								
5601-STREAM GAUGING (OWRB)								13,100.00
Total 5600-WATER QUALITY SERVICES	\$	0.00	\$	0.00	\$	0.00	\$	13,100.00
5800-PUMPING POWER		87,020.21		85,946.25		85,673.02		258,639.48
Total Expenses	\$	173,666.90	\$	157,948.29	\$	157,865.26	\$	602,497.82
Net Operating Income	\$	25,545.05	\$	59,414.69	\$	42,071.04	\$	118,172.73
Other Income								
4920-OTHER REVENUES								
4930-SECURITIES VALUE ADJUSTS		-31,230.15		-53,558.09		-24,985.66		-84,243.17
4934-OWRB ARPA FUNDS						161,070.00		161,070.00
4935 INTEREST INCOME		3,578.81		11,808.71		15,161.80		35,023.74
Total 4920-OTHER REVENUES		-\$27,651.34		-\$41,749.38		\$151,246.14		\$111,850.57
Total Other Income		-\$27,651.34		-\$41,749.38		\$151,246.14		\$111,850.57
Other Expenses								
5825-NON BUDGETED EXPENSES								
5833-CEC PROJECT		10,212.27		80.00		32,096.44		42,388.71
5834-ARPA PROJECT		960.04		162,250.00		60.00		163,270.04
5837 MOTOR REFURBISHMENT				132.32		6,883.40		11,426.38
5838-OFFICE BACK-UP POWER						139.97		139.97
5980 T BILL FEE				25.00		25.00		75.00
6000-DEPRECIATION		46,774.84		46,774.84		46,774.84		187,099.36
Total 5825-NON BUDGETED EXPENSES		\$57,947.15		\$209,262.16		\$85,979.65		\$404,399.46
Total Other Expenses		\$57,947.15		\$209,262.16		\$85,979.65		\$404,399.46
Net Other Income		-\$85,598.49		-\$251,011.54		\$65,266.49		-\$292,548.89
Net Income		-\$60,053.44		-\$191,596.85		\$107,337.53		-\$174,376.16

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**Central Oklahoma Master Conservancy District  
Budget vs. Actuals: FY\_2023\_2024 - FY24 P&L**

July - October, 2023

	Sep 2023				Oct 2023				Total for the Fiscal Year			
	Actual	Budget	over Budget	% of Budget	Actual	Budget	over Budget	% of Budget	Actual	Budget	over Budget	% of Budget
<b>Income</b>												
<b>4900-ASSESSMENTS</b>												
4901-MUNI SHARE, OPERATING COST												
4902-DEL CITY	15,514.25	15,514.25	0.00	100.00%	15,514.25	15,514.25	0.00	100.00%	62,057.00	62,057.00	0.00	100.00%
4903-MIDWEST CITY	39,669.50	39,669.50	0.00	100.00%	39,669.50	39,669.50	0.00	100.00%	158,678.00	158,678.00	0.00	100.00%
4904-NORMAN	43,008.00	43,008.00	0.00	100.00%	43,008.00	43,008.00	0.00	100.00%	172,032.00	172,032.00	0.00	100.00%
<b>Total 4901-MUNI SHARE, OPERATING COST</b>	<b>\$98,191.75</b>	<b>\$98,191.75</b>	<b>\$ 0.00</b>	<b>100.00%</b>	<b>\$98,191.75</b>	<b>\$98,191.75</b>	<b>\$ 0.00</b>	<b>100.00%</b>	<b>\$392,767.00</b>	<b>\$392,767.00</b>	<b>\$ 0.00</b>	<b>100.00%</b>
4905-MUNI SHARE, POWER												
4906-DEL CITY	6,189.87	6,189.87	0.00	100.00%	7,395.95	7,395.95	0.00	100.00%	20,171.27	20,171.27	0.00	100.00%
4907-MIDWEST CITY	38,048.55	38,048.55	0.00	100.00%	34,621.84	34,621.84	0.00	100.00%	109,447.24	109,447.24	0.00	100.00%
4908-NORMAN	41,707.83	41,707.83	0.00	100.00%	43,655.23	43,655.23	0.00	100.00%	129,020.97	129,020.97	0.00	100.00%
<b>Total 4905-MUNI SHARE, POWER</b>	<b>\$85,946.25</b>	<b>\$85,946.25</b>	<b>\$0.00</b>	<b>100.00%</b>	<b>\$85,673.02</b>	<b>\$85,673.02</b>	<b>\$0.00</b>	<b>100.00%</b>	<b>\$258,639.48</b>	<b>\$258,639.48</b>	<b>\$0.00</b>	<b>100.00%</b>
<b>Total 4900-ASSESSMENTS</b>	<b>\$184,138.00</b>	<b>\$184,138.00</b>	<b>\$0.00</b>	<b>100.00%</b>	<b>\$183,864.77</b>	<b>\$183,864.77</b>	<b>\$0.00</b>	<b>100.00%</b>	<b>\$651,406.48</b>	<b>\$651,406.48</b>	<b>\$0.00</b>	<b>100.00%</b>
4921-BOAT DOCK RENT INCOME	600.00	600.00	0.00	100.00%	600.00	600.00	0.00	100.00%	2,400.00	2,400.00	0.00	100.00%
4923-INVEST INT DIVS & GAINS	15,453.98	10,416.67	5,037.31	148.36%	15,471.53	10,416.67	5,054.86	148.53%	49,693.07	41,666.68	8,026.39	119.26%
4936-OK DEPARTMENT OF TOURISM & REC (OTRD)	17,171.00	17,171.00	0.00	100.00%		0.00	0.00		17,171.00	17,171.00	0.00	100.00%
<b>Total Income</b>	<b>\$217,362.98</b>	<b>\$212,325.67</b>	<b>\$5,037.31</b>	<b>102.37%</b>	<b>\$199,936.30</b>	<b>\$194,881.44</b>	<b>\$5,054.86</b>	<b>102.59%</b>	<b>\$720,670.55</b>	<b>\$712,644.16</b>	<b>\$8,026.39</b>	<b>101.13%</b>
<b>Gross Profit</b>	<b>\$217,362.98</b>	<b>\$212,325.67</b>	<b>\$5,037.31</b>	<b>102.37%</b>	<b>\$199,936.30</b>	<b>\$194,881.44</b>	<b>\$5,054.86</b>	<b>102.59%</b>	<b>\$720,670.55</b>	<b>\$712,644.16</b>	<b>\$8,026.39</b>	<b>101.13%</b>
<b>Expenses</b>												
<b>5000-PERSONNEL</b>												
5000.1-EMPLOYEES' WAGES	43,203.02	44,628.58	-1,425.56	96.81%	43,203.02	44,628.58	-1,425.56	96.81%	177,788.07	178,514.32	-726.25	99.59%
5009-EMPLOYEES' RETIREMENT	4,542.72	3,992.75	549.97	113.77%	4,542.72	3,992.75	549.97	113.77%	19,232.38	15,971.00	3,261.38	120.42%
5010-DIRECTORS' EXPENSES	0.00	416.67	-416.67	0.00%	0.00	416.67	-416.67	0.00%	0.00	1,666.68	-1,666.68	0.00%
5011-PAYROLL TAXES	3,231.26	3,414.08	-182.82	94.65%	3,231.26	3,414.08	-182.82	94.65%	14,840.49	13,656.32	1,184.17	108.67%
5012-TRAINING, EDUCATION&TRAVEL	1,659.47	958.33	701.14	173.16%	257.67	958.33	-700.66	26.89%	3,521.39	3,833.32	-311.93	91.86%
5013-UNIFORM & BOOTS ALLOWANCE	492.13	258.33	233.80	190.50%	286.97	258.33	28.64	111.09%	791.08	1,033.32	-242.24	76.56%
5014-EMPLOYEE HEALTH, ETC, INS.	4,178.07	4,646.08	-468.01	89.93%	4,178.07	4,646.08	-468.01	89.93%	16,712.28	18,584.32	-1,872.04	89.93%
5015-WORKMEN'S COMPENSATION	0.00	1,250.00	-1,250.00	0.00%	0.00	1,250.00	-1,250.00	0.00%	0.00	5,000.00	-5,000.00	0.00%
<b>Total 5000-PERSONNEL</b>	<b>\$57,306.67</b>	<b>\$59,564.82</b>	<b>-\$2,258.15</b>	<b>96.21%</b>	<b>\$55,699.71</b>	<b>\$59,564.82</b>	<b>-\$3,865.11</b>	<b>93.51%</b>	<b>\$232,885.69</b>	<b>\$238,259.28</b>	<b>-\$5,373.59</b>	<b>97.74%</b>
<b>5100-MAINTENANCE</b>												
5101-PLANT & DAM R&M, SUPPLIES	103.80	10,666.67	-10,562.87	0.97%	743.97	10,666.67	-9,922.70	6.97%	2,335.78	42,666.68	-40,330.90	5.47%
5103-VEHICLE OPS, R&M	258.37	2,083.33	-1,824.96	12.40%	1,660.18	2,083.33	-423.15	79.69%	5,146.78	8,333.32	-3,186.54	61.76%
5104-BUILDINGS ROADS & GROUNDS	954.96	1,458.33	-503.37	65.48%	2.59	1,458.33	-1,455.74	0.18%	4,254.79	5,833.32	-1,578.53	72.94%
5106-EQUIPMENT R&M, RENTAL	701.88	3,722.58	-3,020.70	18.85%	1,126.41	3,722.58	-2,596.17	30.26%	18,875.01	14,890.32	3,984.69	126.78%
5109-BOATHOUSE MAINTENANCE	0.00	600.00	-600.00	0.00%	1,873.76	600.00	1,273.76	312.29%	2,373.76	2,400.00	-26.24	98.91%
<b>Total 5100-MAINTENANCE</b>	<b>\$2,019.01</b>	<b>\$18,530.91</b>	<b>-\$16,511.90</b>	<b>10.90%</b>	<b>\$5,406.91</b>	<b>\$18,530.91</b>	<b>-\$13,124.00</b>	<b>29.18%</b>	<b>\$32,986.12</b>	<b>\$74,123.64</b>	<b>-\$41,137.52</b>	<b>44.50%</b>
<b>5200-UTILITIES</b>												
5201-TELEPHONE,PAGING,IT SERVIC	2,207.51	2,333.33	-125.82	94.61%	1,822.80	2,333.33	-510.53	78.12%	7,139.29	9,333.32	-2,194.03	76.49%
5204-ELECTRICITY	765.04	708.33	56.71	108.01%	609.36	708.33	-98.97	86.03%	3,171.35	2,833.32	338.03	111.93%
5205-PROPANE	0.00	350.00	-350.00	0.00%	0.00	350.00	-350.00	0.00%	0.00	1,400.00	-1,400.00	0.00%
5206-WASTE REMOVAL	97.32	108.17	-10.85	89.97%	97.32	108.17	-10.85	89.97%	389.28	432.68	-43.40	89.97%

Total 5200-UTILITIES	\$3,069.87	\$3,499.83	-\$429.96	87.71%	\$2,529.48	\$3,499.83	-\$970.35	72.27%	\$10,699.92	\$13,999.32	-\$3,299.40	76.43%
5300-INSURANCE AND BONDS												
5301-INSURANCE	3,497.00	6,916.67	-3,419.67	50.56%	0.00	6,916.67	-6,916.67	0.00%	3,497.00	27,666.68	-24,169.68	12.64%
5305-TREASURER & EMPLOYEE BONDS	0.00	20.83	-20.83	0.00%	0.00	20.83	-20.83	0.00%	0.00	83.32	-83.32	0.00%
Total 5300-INSURANCE AND BONDS	\$3,497.00	\$6,937.50	-\$3,440.50	50.41%	\$0.00	\$6,937.50	-\$6,937.50	0.00%	\$3,497.00	\$27,750.00	-\$24,253.00	12.60%
5400-ADMINISTRATIVE EXPENSE												
5401-OFFICE SUPPLIES, MATERIALS	1,729.29	1,531.25	198.04	112.93%	1,492.25	1,531.25	-39.00	97.45%	5,824.70	6,125.00	-300.30	95.10%
5404-WATERSHED IMPROVEMENT (LTWA)	0.00	0.00	0.00	0.00%	0.00	0.00	0.00	0.00%	25,000.00	25,000.00	0.00	100.00%
Total 5400-ADMINISTRATIVE EXPENSE	\$1,729.29	\$1,531.25	\$198.04	112.93%	\$1,492.25	\$1,531.25	-\$39.00	97.45%	\$30,824.70	\$31,125.00	-\$300.30	99.04%
5500-PROFESSIONAL SERVICES												
5501-LEGAL	120.00	1,458.33	-1,338.33	8.23%	1,180.00	1,458.33	-278.33	80.91%	2,200.00	5,633.32	-3,633.32	37.71%
5502-ACCOUNTING AND AUDIT	1,008.14	4,333.33	-3,325.19	23.26%	1,008.14	4,333.33	-3,325.19	23.26%	7,610.36	17,333.32	-9,722.96	43.91%
5503-CONSULTANTS AND ENGINEERS	3,252.06	5,833.33	-2,581.27	55.75%	4,875.75	5,833.33	-957.58	83.58%	10,054.55	23,333.32	-13,278.77	43.09%
Total 5500-PROFESSIONAL SERVICES	\$4,380.20	\$11,624.99	-\$7,244.79	37.68%	\$ 7,063.89	\$11,624.99	-\$4,561.10	60.76%	\$19,864.91	\$46,499.96	-\$26,635.05	42.72%
5600-WATER QUALITY SERVICES												
5601-STREAM GAUGING (OWRB)	0.00	0.00	0.00	0.00%	0.00	0.00	0.00	0.00%	13,100.00	12,400.00	700.00	105.65%
5603-WATER QUALITY MONITORING	0.00	3,333.33	-3,333.33	0.00%	0.00	3,333.33	-3,333.33	0.00%	0.00	13,333.32	-13,333.32	0.00%
Total 5600-WATER QUALITY SERVICES	\$0.00	\$3,333.33	-\$3,333.33	0.00%	\$0.00	\$3,333.33	-\$3,333.33	0.00%	\$13,100.00	\$25,733.32	-\$12,633.32	50.91%
5800-PUMPING POWER	85,946.25	85,946.25	0.00	100.00%	85,673.02	85,673.02	0.00	100.00%	258,639.48	258,639.48	0.00	100.00%
5950-ASSET PURCHASES & RESERVES		2,500.00	-2,500.00	0.00%		2,500.00	-2,500.00	0.00%	0.00	10,000.00	-10,000.00	0.00%
Total Expenses	\$157,948.29	\$193,468.88	-\$35,520.59	81.64%	\$157,865.26	\$193,195.65	-\$35,330.39	81.71%	\$602,497.82	\$726,130.00	-\$123,632.18	82.97%
Net Operating Income	\$59,414.69	\$18,856.79	\$40,557.90	315.08%	\$42,071.04	\$1,685.79	\$40,385.25	2495.63%	\$118,172.73	-\$13,485.84	\$131,658.57	-876.27%
Other Income												
4920-OTHER REVENUES												
4930-SECURITIES VALUE ADJUSTS	-53,558.09		-53,558.09		-24,985.66		-24,985.66		-84,243.17		-84,243.17	
4934-OWRB ARPA FUNDS	0.00		0.00		161,070.00		161,070.00		161,070.00		161,070.00	
4935 INTEREST INCOME	11,808.71		11,808.71		15,161.80		15,161.80		35,023.74		35,023.74	
Total 4920-OTHER REVENUES	-\$41,749.38		-\$41,749.38		\$151,246.14		\$151,246.14		\$111,850.57		\$111,850.57	
Total Other Income	-\$41,749.38		-\$41,749.38		\$151,246.14		\$151,246.14		\$111,850.57		\$111,850.57	
Other Expenses												
5825-NON BUDGETED EXPENSES												
5833-CEC PROJECT	80.00	61,672.33	-61,592.33	0.13%	32,096.44	61,672.33	-29,575.89	52.04%	42,388.71	246,689.32	-204,300.61	17.18%
5834-ARPA PROJECT	162,250.00	73,828.91	88,421.09	219.76%	60.00	73,828.91	-73,768.91	0.08%	163,270.04	295,315.64	-132,045.60	55.29%
5837 MOTOR REFURBISHMENT	132.32	2,605.49	-2,473.17	5.08%	6,883.40	2,605.49	4,277.91	264.19%	11,426.38	10,421.96	1,004.42	109.64%
5838-OFFICE BACK-UP POWER	0.00	4,166.67	-4,166.67	0.00%	139.97	4,166.67	-4,026.70	3.36%	139.97	16,666.68	-16,526.71	0.84%
5980 T BILL FEE	25.00		25.00		25.00		25.00		75.00		75.00	
6000-DEPRECIATION	46,774.84		46,774.84		46,774.84		46,774.84		187,099.36		187,099.36	
Total 5825-NON BUDGETED EXPENSES	\$209,262.16	\$142,273.40	\$66,988.76	147.08%	\$85,979.65	\$142,273.40	-\$56,293.75	60.43%	\$404,399.46	\$569,093.60	-\$164,694.14	71.06%
Total Other Expenses	\$209,262.16	\$142,273.40	\$66,988.76	147.08%	\$85,979.65	\$142,273.40	-\$56,293.75	60.43%	\$404,399.46	\$569,093.60	-\$164,694.14	71.06%
Net Other Income	-\$251,011.54	-\$142,273.40	-\$108,738.14	176.43%	\$65,266.49	-\$142,273.40	\$207,539.89	-45.87%	-\$292,548.89	-\$569,093.60	\$276,544.71	51.41%
Net Income	-\$191,596.85	-\$123,416.61	-\$68,180.24	155.24%	\$107,337.53	-\$140,587.61	\$247,925.14	-76.35%	-\$174,376.16	-\$582,579.44	\$408,203.28	29.93%

# MONTHLY SUMMARY

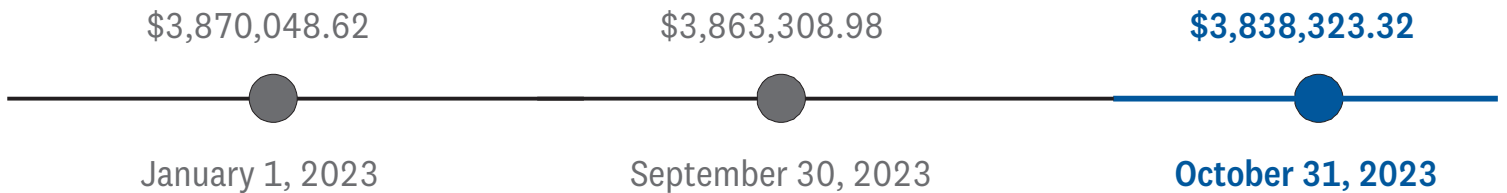
## OCTOBER 2023

CENTRAL OKLAHOMA  
MASTER CONSERVANCY DISTRICT  
A NON-PROFIT ORGANIZATION  
12500 ALAMEDA DRIVE  
NORMAN OK 73026

**Your Financial Advisor :**  
**ROBERT LOCKARD SR**  
310 W MAIN ST  
ARDMORE, OK 73401  
rob.lockard@lpl.com  
(580) 221-5250



**INVESTMENT OBJECTIVE**  
Income with Capital Preservation



### CHANGE IN VALUE

Account	Current Month	Quarter to Date	Year to Date
<b>COMCD</b>			
<b>Starting Value</b>	<b>\$3,863,308.98</b>	<b>\$3,863,308.98</b>	<b>\$3,870,048.62</b>
Total Change in Value of Assets	(\$24,985.66)	(\$24,985.66)	(\$31,725.30)
Inflows	\$0.00	\$0.00	\$0.00
Outflows	(\$5,202.20)	(\$5,202.20)	(\$102,171.55)
Investment Returns	(\$19,783.46)	(\$19,783.46)	\$70,446.25
Total Ending Value	\$3,838,323.32	\$3,838,323.32	\$3,838,323.32

# MONTHLY SUMMARY

October 1, 2023-October 31, 2023

Account Ending in (2885)

## ASSET ALLOCATION

Asset Type	Asset Value
Cash (1.48%)	\$56,669.01
Equities (10.65%)	\$408,800.63
Fixed Income (82.02%)	\$3,148,139.05
Other ((0.15)%)	\$(5,703.75)
Undefined (6.00%)	\$230,418.39
<b>Total (100.00%)</b>	<b>\$3,838,323.32</b>

Asset Allocation data reflects the breakdown of assets in your accounts, including the assets held within any mutual funds and ETFs. The amounts may not match with your account balances.

## EXPLORE MORE



Explore a new interactive version of this summary, access documents, and take action on important Shareholder voting rights.

## ACCOUNT HOLDINGS

### CASH AND CASH EQUIVALENTS

Description	Interest/Dividend Paid	Interest/Dividend Rate <sup>1</sup>	Previous Balance	Current Balance
INSURED CASH ACCOUNT M and T Trust Company TOTAL INSURED CASH ACCOUNT <sup>2</sup>				\$84.41
<b>Total</b>	<b>\$3.22</b>	<b>1.14%</b>		<b>\$84.41</b>

### MUTUAL FUNDS, PUBLICLY TRADED FUNDS AND INTERVAL FUNDS

Security ID / Description	Quantity Price	Market Value	Cost Basis	Unrealized Gain/Loss	Est Annual Income <sup>a</sup> Est 30-Day Yield <sup>a</sup>
ABALX AMERICAN BALANCED CL A <sub>C</sub>	12,223.329 \$29.09	\$355,576.64	\$384,262.17	\$(28,685.53)	\$4,882.00 1.38%
BFIAX AMERICAN INFLATION LINKED BOND CL A <sub>C</sub>	9,798.380 \$8.77	\$85,931.79	\$108,449.92	\$(22,518.13)	\$5,499.00 6.40%
MIAQX AMERICAN MULTISECTOR INCOME CL A <sub>C</sub>	9,677.230 \$8.62	\$83,417.72	\$105,564.23	\$(22,146.51)	\$5,021.00 6.03%

ACCOUNT HOLDINGS continued on next page →

<sup>1</sup> Bank Deposit Sweep interest is the current rate. Money Market Sweep dividend is a 30-day yield.

<sup>2</sup> Bank Deposit Sweep Accounts are FDIC insured, are not obligations of LPL Financial or SIPC, and are not available for margin purposes. See message section for further information.

<sup>a</sup> Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

# MONTHLY SUMMARY

October 1, 2023-October 31, 2023

Account Ending in (2885)

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## ACCOUNT HOLDINGS continued

### MUTUAL FUNDS, PUBLICLY TRADED FUNDS AND INTERVAL FUNDS - continued

Security ID / Description	Quantity Price	Market Value	Cost Basis	Unrealized Gain/Loss	Est Annual Income <sup>a</sup> Est 30-Day Yield <sup>a</sup>
CAIBX CAPITAL INCOME BUILDER CL A <sub>C</sub>	1,500.114 \$60.35	\$90,531.87	\$105,184.47	\$(14,652.60)	\$2,456.00 2.72%
CWGIX CAPITAL WORLD GROWTH & INCOME CL A <sub>C</sub>	484.284 \$53.87	\$26,088.37	\$32,222.14	\$(6,133.77)	\$520.00 2.00%
EALDX EATON VANCE SHORT DURATION GOVT INCOME CL A <sub>C</sub>	32,135.140 \$7.17	\$230,408.95	\$259,528.86	\$(29,119.91)	\$11,861.00 5.15%
AMECX INCOME FUND OF AMERICA CL A <sub>C</sub>	2,118.862 \$21.48	\$45,513.15	\$55,820.75	\$(10,307.60)	\$1,394.00 3.07%
AWSHX WASHINGTON MUTUAL INVESTORS CL A <sub>C</sub>	1,302.952 \$52.13	\$67,922.88	\$75,940.27	\$(8,017.39)	\$1,301.00 1.92%
<b>Total</b>		<b>\$985,391.37</b>	<b>\$1,126,972.81</b>	<b>\$(141,581.44)</b>	<b>\$32,934.00</b>

<sup>C</sup> Dividends and/or capital gains distributed by this security will be distributed as cash.

### FIXED INCOME - CORPORATE BONDS

Security ID / Description	Quantity Price	Market Value	Cost Basis	Unrealized Gain/Loss Accrued Interest	Est Annual Income <sup>a</sup> Est 30-Day Yield <sup>a</sup>
002824BB5 ABBOTT LABS SR NOTE CPN 2.950% DUE 03/15/25 DTD 03/10/15 FC 09/15/15 CALL 12/15/24 @ 100.000 MOODYS RATING: AA3 S&P RATING: AA-	312,000.000 \$96.73	\$301,796.04	\$312,893.94	(\$11,097.90) \$1,176.07	\$9,204.00 3.05%
097023BK0 BOEING CO SR NOTE CPN 3.300% DUE 03/01/35 DTD 02/20/15 FC 09/01/15 CALL 09/01/34 @ 100.000 MOODYS RATING: BAA2 S&P RATING: BBB-	44,000.000 \$71.67	\$31,535.59	\$44,744.50	(\$13,208.91) \$242.00	\$1,452.00 4.60%
097023BR5 BOEING CO SR NOTE CPN 2.250% DUE 06/15/26 DTD 05/18/16 FC 12/15/16 CALL 03/15/26 @ 100.000 MOODYS RATING: BAA2 S&P RATING: BBB-	150,000.000 \$90.50	\$135,752.10	\$150,455.98	(\$14,703.88) \$1,275.00	\$3,375.00 2.49%

ACCOUNT HOLDINGS continued on next page →

<sup>a</sup> Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

# MONTHLY SUMMARY

October 1, 2023-October 31, 2023

Account Ending in (2885)

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## ACCOUNT HOLDINGS Continued

### FIXED INCOME - CORPORATE BONDS - continued

Security ID / Description	Quantity	Market Value	Cost Basis	Unrealized	Est Annual Income <sup>a</sup>
	Price			Gain/Loss	
<b>05565QDM7</b> BP CAP MKTS PLC GTD NOTE CPN 3.588% DUE 04/14/27 DTD 02/14/17 FC 10/14/17 CALL 01/14/27 @ 100.000 MOODYS RATING: A2 S&P RATING: A-	150,000.000 \$93.73	\$140,590.05	\$157,348.04	(\$16,757.99) \$254.15	\$5,382.00 3.83%
<b>10922NAC7</b> BRIGHTHOUSE FINL INC SR NOTE CPN 3.700% DUE 06/22/27 DTD 12/22/17 FC 06/22/18 CALL 03/22/27 @ 100.000 MOODYS RATING: BAA3 S&P RATING: BBB+	250,000.000 \$90.23	\$225,576.75	\$246,119.20	(\$20,542.45) \$3,314.58	\$9,250.00 4.10%
<b>36966TDN9</b> GENL ELECTRIC CAP CORP INTERNOTES SURVIVOR OPTION CPN 4.300% DUE 11/15/25 DTD 11/03/11 FC 05/15/12 MOODYS RATING: BAA1 S&P RATING: BBB+	300,000.000 \$96.24	\$288,722.40	\$300,000.00	(\$11,277.60) \$5,948.33	\$12,900.00 4.47%
<b>38143C6D8</b> GOLDMAN SACHS GROUP INC MEDIUM TERM NOTE NO SURVIVOR OPTION CPN 3.000% DUE 08/15/29 DTD 08/04/16 FC 09/15/16 CALL 08/15/28 @ 100.000 MOODYS RATING: A2 S&P RATING: BBB+	161,000.000 \$84.15	\$135,480.21	\$161,035.41	(\$25,555.20) \$214.67	\$4,830.00 3.57%
<b>444859BK7</b> HUMANA INC SR NOTE CPN 3.125% DUE 08/15/29 DTD 08/15/19 FC 02/15/20 CALL 05/15/29 @ 100.000 MOODYS RATING: BAA2 S&P RATING: BBB+	155,000.000 \$86.59	\$134,221.01	\$161,448.96	(\$27,227.95) \$1,022.57	\$4,843.00 3.61%
<b>594918BB9</b> MICROSOFT CORP NOTE CPN 2.700% DUE 02/12/25 DTD 02/12/15 FC 08/12/15 CALL 11/12/24 @ 100.000 MOODYS RATING: AAA S&P RATING: AAA	76,000.000 \$96.71	\$73,500.74	\$75,332.36	(\$1,831.62) \$450.30	\$2,052.00 2.79%

ACCOUNT HOLDINGS continued on next page →

<sup>a</sup> Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

# MONTHLY SUMMARY

October 1, 2023-October 31, 2023

Account Ending in (2885)

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## ACCOUNT HOLDINGS Continued

### FIXED INCOME - CORPORATE BONDS - continued

Security ID / Description	Quantity Price	Market Value	Cost Basis	Unrealized		Est Annual Income <sup>a</sup> Est 30-Day Yield <sup>a</sup>
				Gain/Loss	Accrued Interest	
<b>594918BC7</b> MICROSOFT CORP NOTE CPN 3.500% DUE 02/12/35 DTD 02/12/15 FC 08/12/15 CALL 08/12/34 @ 100.000 MOODYS RATING: AAA S&P RATING: AAA	165,000.000 \$85.05	\$140,340.09	\$170,277.77	(\$29,937.68) \$1,267.29		\$5,775.00 4.12%
<b>655664AS9</b> NORDSTROM INC SR NOTE CPN 4.000% DUE 03/15/27 DTD 03/09/17 FC 09/15/17 CALL 12/15/26 @ 100.000 MOODYS RATING: BA1 S&P RATING: BB+	250,000.000 \$88.00	\$220,000.00	\$253,660.44	(\$33,660.44) \$1,277.78		\$10,000.00 4.55%
<b>717081DM2</b> PFIZER INC SR NOTE CPN 3.400% DUE 05/15/24 DTD 05/15/14 FC 11/15/14 MOODYS RATING: A1 S&P RATING: A+	132,000.000 \$98.58	\$130,129.29	\$132,206.35	(\$2,077.06) \$2,069.47		\$4,488.00 3.45%
<b>718549AB4</b> PHILLIPS 66 PRTNRS LP SR NOTE CPN 3.605% DUE 02/15/25 DTD 02/23/15 FC 08/15/15 CALL 11/15/24 @ 100.000 MOODYS RATING: WR S&P RATING: NOT RATED	107,000.000 \$97.12	\$103,919.79	\$106,937.86	(\$3,018.07) \$814.33		\$3,857.00 3.71%
<b>844741BC1</b> SOUTHWEST ARLNS CO NOTE CPN 3.000% DUE 11/15/26 DTD 11/04/16 FC 05/15/17 CALL 08/15/26 @ 100.000 MOODYS RATING: BAA1 S&P RATING: BBB	150,000.000 \$92.14	\$138,210.90	\$150,357.08	(\$12,146.18) \$2,075.00		\$4,500.00 3.26%
<b>Total</b>		<b>\$2,199,774.96</b>	<b>\$2,422,817.89</b>	<b>(\$223,042.93)</b> <b>\$21,401.54</b>		<b>\$81,908.00</b>

## CERTIFICATES OF DEPOSIT

Security ID / Description	Quantity Price	Market Value	Cost Basis	Unrealized		Est Annual Income <sup>a</sup> Est 30-Day Yield <sup>a</sup>
				Gain/Loss	Accrued Interest	
<b>028402CA1</b> AMERICAN NATL BANK OMAHA NE CD FDIC #19300 CLLB CPN 4.500% DUE 01/13/26 DTD 01/13/23 FC 02/13/23 CALL 01/13/24 @ 100.000	165,000.000 \$98.14	\$161,932.98	\$165,001.02	(\$3,068.04) \$386.50		\$7,425.00 4.59%

<sup>a</sup> Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

# MONTHLY SUMMARY

October 1, 2023-October 31, 2023

Account Ending in (2885)



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## ACCOUNT HOLDINGS Continued

### CERTIFICATES OF DEPOSIT CONTINUED

Security ID / Description	Quantity Price	Market Value	Cost Basis	Unrealized	Est Annual Income <sup>a</sup>
				Gain/Loss Accrued Interest	Est 30-Day Yield <sup>a</sup>
<b>06740KRW9</b> BARCLAYS BANK DE WILMINGTON DE CD FDIC #57203 IAM CPN 5.100% DUE 07/28/25 DTD 07/26/23 FC 01/26/24	50,000.000 \$98.48	\$49,240.50	\$50,004.37	(\$763.87) \$684.66	\$2,550.00 5.18%
<b>69506YVW6</b> PACIFIC WESTERN BANK BEVERLY HILLS CA CD FDIC #24045 CLLB CPN 4.650% DUE 02/09/26 DTD 02/08/23 FC 08/08/23 CALL 02/08/24 @ 100.000	200,000.000 \$98.27	\$196,536.60	\$200,002.09	(\$3,465.49) \$2,165.75	\$9,300.00 4.73%
<b>795451CP6</b> SALLIE MAE BANK SALT LAKE CITY UT CD FDIC #58177 CPN 4.400% DUE 01/12/26 DTD 01/11/23 FC 07/11/23	250,000.000 \$98.15	\$245,362.50	\$250,003.72	(\$4,641.22) \$3,405.48	\$11,000.00 4.48%
<b>Total</b>		<b>\$653,072.58</b>	<b>\$665,011.20</b>	<b>(\$11,938.62)</b> <b>\$6,642.39</b>	<b>\$30,275.00</b>

## CASH AND NON-CASH TRANSACTION ACTIVITY

 Inflows Additions	 Outflows Debits
Securities Sold \$0.00	Securities Purchased \$0.00
Income and Distributions \$5,205.42	Reinvestments \$0.00
Inflows \$0.00	Outflows (\$5,202.20)
Credits and Other \$0.00	Fees and Other \$0.00

<sup>a</sup> Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.



# MONTHLY SUMMARY

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## INCOME AND DISTRIBUTIONS AND REINVESTMENTS

Date	Security ID / Description	Transaction	Amount
10/02/2023	<b>MIAQX</b> AMERICAN MULTISECTOR INCOME CL A 100223 9,677.23000	Cash Dividend	\$439.29
10/02/2023	<b>EALDX</b> EATON VANCE SHORT DURATION GOVT INCOME CL A 092923 32,135.14000 AS OF 09/29/23	Cash Dividend	\$1,059.14
10/13/2023	<b>028402CA1</b> AMERICAN NATL BANK OMAHA NE CD FDIC #19300 CLLB CPN 4.500% DUE 01/13/26 DTD 01/13/23 FC 02/13/23 101323 165,000	Interest	\$610.27
10/16/2023	<b>05565QDM7</b> BP CAP MKTS PLC GTD NOTE CPN 3.588% DUE 04/14/27 DTD 02/14/17 FC 10/14/17 CALL 01/14/27 @ 100.000 101423 150,000 AS OF 10/14/23	Interest	\$2,691.00
10/16/2023	<b>38143C6D8</b> GOLDMAN SACHS GROUP INC MEDIUM TERM NOTE NO SURVIVOR OPTION CPN 3.000% DUE 08/15/29 DTD 08/04/16 FC 09/15/16 101523 161,000 AS OF 10/15/23	Interest	\$402.50
10/31/2023	<b>09999227</b> INSURED CASH ACCOUNT 103123 84	Interest	\$3.22

## INFLOWS AND OUTFLOWS

Date	Transaction	Description	Quantity	Amount
10/02/2023	Sweep (Deposit)	INSURED CASH ACCOUNT	0.000	\$1,498.43
10/13/2023	Sweep (Deposit)	INSURED CASH ACCOUNT	0.000	\$610.27
10/16/2023	Sweep (Deposit)	INSURED CASH ACCOUNT	0.000	\$2,691.00
10/17/2023	Sweep (Deposit)	INSURED CASH ACCOUNT	0.000	\$402.50
10/31/2023	Sweep (Interest Deposit)	INSURED CASH ACCOUNT	0.000	\$3.22
10/31/2023	Sweep (Withdrawal)	INSURED CASH ACCOUNT	0.000	(\$5,202.20)
10/31/2023	ACH Funds	INCOME DISTRIBUTION TRACE # 021000010001753	0.000	(\$5,202.20)

# MONTHLY SUMMARY

October 1, 2023-October 31, 2023

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## Documents

### Fund Documents

09/30/2023	<b>AMECX</b> THE INCOME FUND OF AMERICA - A SHARE	Summary Prospectus
09/30/2023	<b>AMECX</b> THE INCOME FUND OF AMERICA - A SHARE	Annual Report
10/26/2023	<b>EALDX</b> EV SHORT DURATION GOVERNMENT INC FD A	Section 19a

### SEE UPCOMING EVENTS & ACCESS DOCUMENTS



Explore a new interactive version of this summary, access documents, and take action on important Shareholder voting rights.

# MONTHLY SUMMARY

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## MESSAGES

### Messages From LPL Financial

#### ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY)

EAI is calculated by taking the indicated annualized dividend and multiplying by the number of shares owned. EY is calculated by taking the EAI and dividing by the aggregate value of the shares owned. If no dividend information is available, no EAI or EY numbers will be generated. EAI and EY for certain types of securities could include a return of principal or capital gains in which case the EAI and EY would be overstated. EAI and EY are estimates and the actual income and yield might be lower or higher than the estimated amounts. Additionally the actual dividend or yield may vary depending on the security issuer's approval of paying the dividends. EY reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate.

#### NON-TRANSFERABLE SECURITIES THAT ARE WORTHLESS

As part of our continuing effort to provide exceptional service, please be advised that LPL Financial will remove any non-transferable securities that are worthless from customer accounts. Your account may or may not be affected. Should you have any questions or concerns, please contact your financial professional.

#### SETTLEMENT FEE

LPL passes through certain regulatory fees incurred by LPL as a result of executing the transaction on your behalf. This includes fees charged under Section 31 of the Securities Exchange Act for sell transactions in equities and options.

#### POLICY FOR BENEFICIARY ACCOUNTS

Beneficiaries are required to open an account to receive any securities or cash from a deceased client's account. LPL generally will divide all securities and cash proportionately among the designated beneficiaries based on the allocations indicated by the account holder. However, this policy and procedure address specific situations, such as the treatment of securities remaining after the proportionate division of assets. You may visit [lpl.com](http://lpl.com) to learn more.

#### ICA INFORMATION

Your balances in the Insured Cash Account (ICA) Program are allocated to each depository institution on the Priority Bank List in increments of \$246,500 for individual and trust accounts and \$493,000 for joint accounts. As always, you should review your cash positions with various depository institutions to determine whether your cash is within the FDIC insurance coverage limits. For more information about FDIC insurance limits, please contact your financial professional or go to [www.fdic.gov](http://www.fdic.gov)

Please be advised that the Priority Bank List for the ICA Program may change from time to time. These changes include the order of priority in which banks are listed as well as the addition and removal of banks. Please be sure to consult your financial professional or [LPL.com](http://LPL.com) periodically throughout the month for recent updates and information regarding how these changes may impact your account.

#### LPL RELATIONSHIP SUMMARY AVAILABLE ONLINE

LPL financial professionals offer brokerage services, investment advisory services, or both, depending on their licenses. Brokerage and investment advisory services, and the fees we charge for them, differ, and it's important that you understand the differences. Our Relationship Summary explains the various services we offer, how we charge for those services, and conflicts of interest that exist when we provide our services. Please visit [lpl.com/CRS](http://lpl.com/CRS) to learn more.

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**ACCOUNT PROTECTION** LPL Financial is a member of the Securities Investor Protection Corporation (SIPC). SIPC provides protection for your account up to \$500,000, of which \$250,000 may be claims for cash, in the unlikely event that LPL fails financially. SIPC protection limits apply to all accounts that you hold in a particular capacity. For example, if you hold two accounts at LPL as a sole account holder and third as a joint account holder, the two individual accounts are protected under SIPC up to a combined limit of \$500,000, and the joint account is protected under SIPC separately up to \$500,000. LPL Insured Cash Account (ICA) and LPL Deposit Cash Account (DCA) are not protected by SIPC. To get more information on SIPC, including an explanatory brochure, call SIPC directly at (202) 371-8300 or go to [www.sipc.org](http://www.sipc.org). The account protection applies when an SIPC member firm fails financially and is unable to meet its obligations to securities clients, but it does not protect against losses from the rise and fall in the market value of investments.

**ACCOUNT TYPES** For further information regarding the types of accounts available at LPL Financial and the differences between brokerage and advisory accounts, please visit [lpl.com](http://lpl.com) and go to Disclosures > Form CRS. Our goal is to ensure that you feel fully informed about the account options available to you.

**Adjusted Cost** The cost basis of securities is subject to adjustment for return of capital, return of principal, original issue discount, bond premium, acquisition premium, market discount, partnership distribution, option premium, corporate action, and wash sale. Adjusted cost is used to calculate unrealized and realized gain or loss. When information is incomplete or missing, "N/A" is displayed and is treated as zero when calculating totals.

**ADJUSTMENTS TO OPTION CONTRACTS** As a general rule, corporate actions can result in an adjustment in the number of shares underlying an options contract, the exercise price, or both. Please review any adjustment to an option position. Contact your financial professional for further information about option contract adjustment or visit the Options Clearing Corporation (OCC) website to view Information Memos at <https://infomemo.theocc.com/infomemo/search>.

LPL FINANCIAL LLC is an affiliate of LPL Financial Holdings Inc.

**AGENCY** If your broker-dealer acts as your agent, or as agent for both you and another person in a transaction, the transaction details, including the identity of the seller or buyer and the source and amount of any fees or payments will be supplied upon written request.

**ASSET-BACKED SECURITIES** The actual yield from transactions in asset-backed securities (e.g., CMO, FNMA, FHLMC or GMNA transactions) may vary according to the rate at which the underlying assets or receivables are repaid. Information about yield factors is available from your financial professional on request.

**CHANGE OF ADDRESS** Please notify your financial professional or LPL Financial promptly in writing of any change of address.

**Cost Basis** For assets not purchased in the LPL account, you or the previous broker-dealer upon transfer may have provided the Date Acquired and Cost Basis of the position. If no such data was submitted, "N/A" is displayed. Liquidating transactions are automatically paired against holdings on a First In, First Out basis. Designating liquidations as "versus purchase" will cause the trade confirmation or other closed tax lot notification to reflect the selected closed tax lots. Since the cost basis on transferred securities may have been provided by another source, the cost basis information on your statement may not reflect accurate data or correspond to data on your trade confirmations. For accounts electing average cost, the total cost may be computed using a combination of averaged and non-averaged unit prices for eligible securities.

**DISCREPANCIES** Please notify both your financial professional and LPL Financial immediately of any discrepancies on your statement. If your financial professional is affiliated with another broker-dealer, you must notify them as well. Please see contact information on page 1 of this account statement. Additionally, any verbal communications should be reconfirmed in writing to each of the above parties to further protect your rights, including rights under the Securities Investor Protection Act.

**FRACTIONAL SHARE LIQUIDATION** For information on fractional share transactions, please refer to [LPL.com](http://LPL.com) > Disclosures > Market & Trading Disclosures > Fractional Share Transactions.

**FREE CREDIT BALANCES** LPL Financial may use your free credit balances subject to the limitations of 17 CFR Section 240.15c3-3 under the Securities Exchange Act of 1934. You have the right to receive from us, upon demand in the course of normal business, the delivery of any free credit balances to which you are entitled, any fully paid securities to which you are entitled, and any securities purchased on margin upon full payment of any indebtedness to LPL Financial. Balances in Client Cash Account are free credit balances.

**INVESTMENT RISK** LPL Financial is not a bank, savings and loan, or credit union. Securities and insurance offered through LPL and its affiliates are not FDIC, NCUA- or government-insured, not endorsed or guaranteed by LPL, its affiliates or any other financial institution, are not a deposit, and involve investment risk including possible loss of principal.

**LPL INSURED BANK DEPOSIT SWEEP PROGRAMS** Cash in the Insured Cash Account (ICA) and LPL Deposit Cash Account (DCA) programs is protected by the Federal Deposit Insurance Corporation (FDIC). LPL Financial allocates your money to the ICA program to banks in the order of the Priority Bank List, and to the DCA program to any bank on the Available Bank list, in increments up to the programs' disclosed amounts until your balance in each of the ICA and DCA programs is allocated to the program max. All banks are FDIC members. FDIC coverage is \$250,000 per depositor per bank (\$500,000 for joint account holders). More information on FDIC insurance is available on request, or by visiting [www.fdic.gov](http://www.fdic.gov). LPL Financial is not a bank. Unless otherwise disclosed, securities and other investments obtained through LPL Financial ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED AND MAY LOSE VALUE.

**MARGIN ACCOUNT** If you use margin, this statement combines information about your investment account(s) and a special miscellaneous account maintained for you under Section 220.6 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the separate account required by Regulation T is available for your inspection upon request.

**MONEY MARKET FUNDS** Money market fund transactions, if any, are displayed chronologically. The 30-day yield for the fund is also reflected as of the statement date.

**MUNICIPAL MATERIAL DISCLOSURE** Copies of any material disclosures for municipal bonds are available in the Electronic Municipal Market Access (EMMA) system at [www.emma.msrb.org](http://www.emma.msrb.org). To obtain specific municipal bond information, enter the nine-digit CUSIP number in the search field in the EMMA site. If you do not have access to the internet or would prefer a physical copy of the material disclosure, please contact your financial professional. Additional municipal bond information that may be available on [www.emma.msrb.org](http://www.emma.msrb.org) includes, but is not limited to, advance refunding documents, continuing disclosures including annual financial statements and notices of material events, real-time and historical trade data, daily market statistics, and education material.

N/A or "-" Data Information that displays as N/A or "-" is missing or incomplete and is treated as zero when calculating totals.

**OPTION CLIENTS** Information on commissions and other charges incurred in connection with the execution of options transactions are included in the transaction confirmations provided to you. They are available upon request. Promptly advise your financial professional of any material change in your investment objectives or financial situation.

**PRICING** Prices shown should only be used as a general guide to portfolio value and may vary from actual liquidation value. We receive prices from various services, which are sometimes unable to provide timely information. Where pricing sources are not readily available, estimated prices may be generated by a matrix system or market driven-pricing model, taking various factors into consideration. Information that displays as N/A or "-" is missing or incomplete and is treated as zero when calculating totals.

**PRINCIPAL** If your broker-dealer is acting as principal in a transaction, your broker-dealer has sold to you or bought from you the security, and may have received a profit from the transaction.



Securities Offered Through LPL Financial  
Member FINRA/SIPC  
4707 Executive Drive, San Diego, CA 92121-3091

Not FDIC Insured | No Bank Guarantee |  
May Lose Value | Not a Deposit |  
Not Insured by any Federal Government Agency

# MONTHLY SUMMARY

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**Purchase Cost** The cost of assets purchased in the LPL account, excluding the cost of assets acquired by reinvested dividends and interest. The amount includes trade fees and commissions and excludes any accrued interest paid at purchase. The amount is subject to adjustment for return of capital, return of principal, original issue discount, bond premium, acquisition premium, market discount, partnership distribution, option premium, corporate action, and wash sale. For transferred securities, the amount could be the purchase amount or the adjusted cost amount you or the former institution provided to us. Transferred securities may not be included in Purchase Cost. When information is incomplete or missing, "N/A" is displayed and is treated as zero when calculating totals.

**REGULATION** All transactions are subject to the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market-and its clearing house, if any where the transactions are executed, and of the Financial Industry Regulatory Authority (FINRA).

**REINVESTMENT** The dollar amount of mutual fund distributions, money market fund income, or dividends on other securities on your statement may have been reinvested in additional shares. You will not receive confirmations for these reinvestment transactions. However, you may request information on these transactions by writing to LPL Financial. LPL will also, if requested, furnish you with the time of execution and the name of the person from whom your security was purchased.

**REVENUE SHARING** LPL may have a fee arrangement with the investment advisor or distributor ("sponsor") of the mutual fund you have purchased, called revenue-sharing. In such case, the sponsor pays LPL a fee based on the amount of your purchase, and LPL provides marketing support to the sponsor and allows the sponsor to access your financial professional so that the sponsor can promote such mutual funds. This arrangement gives LPL a financial incentive to have LPL clients invest in participating mutual funds instead of funds whose sponsor do not make such payments to LPL. Although your financial professional does not share in this compensation, this conflict of interest affects the ability of LPL to provide you with unbiased, objective investment advice concerning the selection of mutual funds for your account. This could mean that other mutual funds, whose sponsors do not make revenue sharing payments, may be more appropriate for your account than the mutual funds whose sponsors make revenue sharing payments to LPL. For a complete list of the participating sponsors, and the range of fee payments, please visit [LPL.com>Disclosures> Account Disclosures, Agreements, Fee Schedules & Conflicts of Interest>Third Party Compensation and Related Conflicts of Interest](https://www.lpl.com/AccountDisclosures/Agreements/FeeSchedules/ConflictsOfInterest/ThirdPartyCompensationandRelatedConflictsOfInterest).

**SWEEP OPTION** Your account may provide for a daily sweep in an insured bank deposit sweep program-either LPL Insured Cash Account (ICA) or LPL Deposit Cash Account (DCA)-or a money market mutual fund. The balance in the ICA, DCA or money market mutual fund sweep may be liquidated on the customer's order and the proceeds returned to the securities account, or remitted to the customer. If you have any questions about your sweep option, including rates of the depository institutions currently participating in the sweep option, or you would like to change your sweep option, please contact your financial professional.

**TRADING AWAY POLICY** Additional information regarding trading practices of equity portfolio managers on Manager Select and Manager Access Select is available on [LPL.com > Disclosures > Market & Trading Disclosures > Third-Party Portfolio Manager Trading Practices](https://www.lpl.com/AccountDisclosures/MarketTradingPractices).

# Balance Sheet

## Central Oklahoma Master Conservancy District

**Nov 23**

### Assets

#### Current Assets

##### Bank Accounts

1022- BANCFIRST #0014	736,575
1023- BANCFIRST #3940	148,679
1050- LPL FINANCIAL	
1051- LPL ACCT# - 2885 AT MARKET	3,915,013
1052- LPL ACCRUED INTEREST	25,381
<b>Total 1050- LPL FINANCIAL</b>	<b>3,940,394</b>
<b>Total Bank Accounts</b>	<b>4,825,648</b>

##### Accounts Receivable

##### **Total Accounts Receivable**

##### Other Current Assets

1919- T BILLS	1,313,891
1920.1- (BANC1ST)DWSRF ESCROW	16,505
1920.2- (BANC1ST)PIPELINE ESCROW	77,822
1930 EMPLOYEE CASH ADVANCES	371
<b>Total Other Current Assets</b>	<b>1,408,588</b>

##### **Total Current Assets**

**6,234,236**

#### Fixed Assets

##### 2000- WATER SUPPLY ASSETS

BUILDING AND STRUCTURES	54,811
DAM AND RESERVOIR	4,605,177
EQUIPMENT AND FENCE	31,210
NEW DEL CITY PIPELINE	6,847,317
PIPELINE	3,402,226
PUMPING PLANT	1,593,951
<b>Total 2000- WATER SUPPLY ASSETS</b>	<b>16,534,692</b>

##### 2010- TRANSFERRED FROM BUREC

OFFICE FURNITURE & FIXTURES	1,326
SHOP TOOLS	853
<b>Total 2010- TRANSFERRED FROM BUREC</b>	<b>2,179</b>

##### 2020- OTHER PURCHASED ASSETS

BUILDINGS,STRUCTURES & ROADS	2,065,007
OFFICE EQUIPMENT	110,084
PLANT AND DAM EQUIPMENT	5,349,574
VEHICLES AND BOATS	683,149
<b>Total 2020- OTHER PURCHASED ASSETS</b>	<b>8,207,813</b>

##### 2030- ALLOWANCE FOR DEPRECIATION

-10,933,586

##### **Total Fixed Assets**

**13,811,098**

#### Other Assets

DEBT ISSUANCE COSTS	38,905
DEFERRED OUTFLOWS- PENSION	85,052
DWSRF REPYMTS DUE	
DEL CITY PIPELINE	4,552,332
ENERGY PROJECT	303,220

The information contained in this report is provided for informational purposes only and is not intended to substitute for obtaining accounting, tax, or financial advice from a professional accountant. Any tax advice contained in this report is not intended to be used for the purpose of avoiding penalties under tax law. While we use reasonable efforts to furnish accurate and up-to-date information, we do not warrant that any information contained in or made available through this report is accurate, complete, reliable, current or error free. We assume no liability or responsibility for any errors or omissions in the content of this report or delayed information.

# Balance Sheet

## Central Oklahoma Master Conservancy District

**Nov 23**

<b>Total DWSRF REPYMTS DUE</b>	<b>4,855,552</b>
NET PENSION ASSET	724,213
<b>Total Other Assets</b>	<b>5,703,722</b>
<b>Total Assets</b>	<b>25,749,057</b>
Liabilities and Equity	
Liabilities	
Current Liabilities	
Accounts Payable	
4000- CURRENT CLAIMS PAYABLE	74,556
<b>Total Accounts Payable</b>	<b>74,556</b>
Credit Card	
D Carpenter CC 7582	120
D Underwood CC 8490	89
K Arthur CC 1984	2,728
S McKinney CC 7608	436
T Carr CC 2834	38
<b>Total Credit Card</b>	<b>3,411</b>
Other Current Liabilities	
4000.1- DEFERRED PENSION COSTS	423,363
4000.2- MISC PENSION PAYABLES	3,717
4002- DWSRF INTEREST PAYABLE	
4002.2 DEL CITY PIPELINE	14,786
<b>Total 4002- DWSRF INTEREST PAYABLE</b>	<b>14,786</b>
4010- PAYROLL LIABILITIES	
4014- RETIREMENT PLAN PAYABLE	5,898
4016- GROUP INSURANCE PAYABLE	-941
<b>Total 4010- PAYROLL LIABILITIES</b>	<b>4,957</b>
4017- COMPENSATED ABSENCES	29,121
4200- DEFERRED INFLOWS- PENSION	50,495
<b>Total Other Current Liabilities</b>	<b>526,439</b>
<b>Total Current Liabilities</b>	<b>604,406</b>
Long- Term Liabilities	
4020- CONTRACTS PAYABLE	
4055- DWSRF LOAN (ENERGY)	
4075- DWSRF LOAN (ENERGY)	284,425
<b>Total 4055- DWSRF LOAN (ENERGY)</b>	<b>284,425</b>
4080- DWSRF LOAN ( PIPELINE)	
4085- DWSRF LOAN ( PIPELINE)	4,651,816
<b>Total 4080- DWSRF LOAN ( PIPELINE)</b>	<b>4,651,816</b>
<b>Total 4020- CONTRACTS PAYABLE</b>	<b>4,936,241</b>
<b>Total Long- Term Liabilities</b>	<b>4,936,241</b>
<b>Total Liabilities</b>	<b>5,540,647</b>
Equity	
4806.5 UNRESTRICTED SURPLUS	
4807- UNRESTRICTED SURPLUS	15,890,664
4808- FYE '23 ADJUSTS- PRIOR YRS	48,685

The information contained in this report is provided for informational purposes only and is not intended to substitute for obtaining accounting, tax, or financial advice from a professional accountant. Any tax advice contained in this report is not intended to be used for the purpose of avoiding penalties under tax law. While we use reasonable efforts to furnish accurate and up-to-date information, we do not warrant that any information contained in or made available through this report is accurate, complete, reliable, current or error-free. We assume no liability or responsibility for any errors or omissions in the content of this report or delivered information.

# Balance Sheet

## Central Oklahoma Master Conservancy District

**Nov 23**

<b>Total 4806.5 UNRESTRICTED SURPLUS</b>	<b>15,939,349</b>
Net Income	-81,534
Retained Earnings	4,350,595
<b>Total Equity</b>	<b>20,208,410</b>
<b>Total Liabilities and Equity</b>	<b>25,749,057</b>

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**Central Oklahoma Master Conservancy District**  
**Profit and Loss**  
July - November, 2023

	Oct 2023	Nov 2023	Total
<b>Income</b>			
<b>4900-ASSESSMENTS</b>			
<b>4901-MUNI SHARE, OPERATING COST</b>			
4902-DEL CITY	15,514.25	15,514.25	77,571.25
4903-MIDWEST CITY	39,669.50	39,669.50	198,347.50
4904-NORMAN	43,008.00	43,008.00	215,040.00
<b>Total 4901-MUNI SHARE, OPERATING COST</b>	<b>\$98,191.75</b>	<b>\$98,191.75</b>	<b>\$490,958.75</b>
<b>4905-MUNI SHARE, POWER</b>			
4906-DEL CITY	7,395.95	6,875.31	27,046.58
4907-MIDWEST CITY	34,621.84	29,132.30	138,579.54
4908-NORMAN	43,655.23	24,710.85	153,731.82
<b>Total 4905-MUNI SHARE, POWER</b>	<b>\$85,673.02</b>	<b>\$60,718.46</b>	<b>\$319,357.94</b>
<b>Total 4900-ASSESSMENTS</b>	<b>\$183,864.77</b>	<b>\$158,910.21</b>	<b>\$810,316.69</b>
4921--BOAT DOCK RENT INCOME	600.00	0.00	2,400.00
4923-INVEST INT DIVS & GAINS	15,471.53	5,202.20	54,895.27
4936-OK DEPARTMENT OF TOURISM & REC (OTRD)	0.00	0.00	17,171.00
<b>Total Income</b>	<b>\$199,936.30</b>	<b>\$164,112.41</b>	<b>\$884,782.96</b>
<b>Gross Profit</b>	<b>\$199,936.30</b>	<b>\$164,112.41</b>	<b>\$884,782.96</b>
<b>Expenses</b>			
<b>5000-PERSONNEL</b>			
5000.1-EMPLOYEES' WAGES	43,203.02	43,207.30	220,995.37
5009-EMPLOYEES' RETIREMENT	4,542.72	4,542.73	23,775.11
5011-PAYROLL TAXES	3,231.26	3,525.60	18,366.09
5012-TRAINING, EDUCATION&TRAVEL	257.67	0.00	3,521.39
5013-UNIFORM & BOOTS ALLOWANCE	286.97	181.80	972.88
5014-EMPLOYEE HEALTH, ETC, INS.	4,178.07	4,178.07	20,890.35
<b>Total 5000-PERSONNEL</b>	<b>\$55,699.71</b>	<b>\$55,635.50</b>	<b>\$288,521.19</b>
<b>5100-MAINTENANCE</b>			
5101-PLANT& DAM R&M, SUPPLIES	743.97	2,562.28	4,898.06
5103-VEHICLE OPS, R&M	1,660.18	270.42	5,417.20
5104-BUILDINGS ROADS & GROUNDS	2.59	0.00	4,254.79
5106-EQUIPMENT R&M, RENTAL	1,126.41	236.09	19,111.10
5109-BOATHOUSE MAINTENANCE	1,873.76	250.00	2,623.76
<b>Total 5100-MAINTENANCE</b>	<b>\$5,406.91</b>	<b>\$3,318.79</b>	<b>\$36,304.91</b>
<b>5200-UTILITIES</b>			
5201-TELEPHONE,PAGING,IT SERVIC	1,822.80	2,063.73	9,203.02
5204-ELECTRICITY	609.36	683.50	3,854.85
5206-WASTE REMOVAL	97.32	97.32	486.60
<b>Total 5200-UTILITIES</b>	<b>\$2,529.48</b>	<b>\$2,844.55</b>	<b>\$13,544.47</b>
<b>5300-INSURANCE AND BONDS</b>			
5301-INSURANCE	0.00	0.00	3,497.00

Total 5300-INSURANCE AND BONDS	\$0.00	\$0.00	\$3,497.00
5400-ADMINISTRATIVE EXPENSE			
5401-OFFICE SUPPLIES, MATERIALS	1,492.25	1,025.79	6,850.49
5404-WATERSHED IMPROVEMENT (LTWA)	0.00	0.00	25,000.00
Total 5400-ADMINISTRATIVE EXPENSE	\$1,492.25	\$1,025.79	\$31,850.49
5500-PROFESSIONAL SERVICES			0.00
5501-LEGAL	1,180.00	1,060.00	3,260.00
5502-ACCOUNTING AND AUDIT	1,008.14	10,850.00	18,460.36
5503-CONSULTANTS AND ENGINEERS	4,875.75	1,045.41	11,099.96
Total 5500-PROFESSIONAL SERVICES	\$7,063.89	\$12,955.41	\$32,820.32
5600-WATER QUALITY SERVICES			
5601-STREAM GAUGING (OWRB)			13,100.00
Total 5600-WATER QUALITY SERVICES	\$0.00	\$0.00	\$13,100.00
5800-PUMPING POWER	85,718.16	60,673.33	319,357.95
Total Expenses	\$157,910.40	\$136,453.37	\$738,996.33
Net Operating Income	\$42,025.90	\$27,659.04	\$145,786.63
Other Income			
4920-OTHER REVENUES			
4921.5-MISCELLANEOUS RECEIPTS	0.00	7,649.06	7,649.06
4930-SECURITIES VALUE ADJUSTS	-24,985.66	102,070.85	17,827.68
4934-OWRB ARPA FUNDS	161,070.00	0.00	161,070.00
4935 INTEREST INCOME	15,161.80	3,507.93	38,531.67
Total 4920-OTHER REVENUES	\$151,246.14	\$113,227.84	\$225,078.41
Total Other Income	\$151,246.14	\$113,227.84	\$225,078.41
Other Expenses			
5825-NON BUDGETED EXPENSES			
5833-CEC PROJECT	32,096.44	981.75	43,370.46
5834-ARPA PROJECT	60.00	20.00	163,290.04
5837 MOTOR REFURBISHMENT	6,883.40	0.00	11,426.38
5838-OFFICE BACK-UP POWER	139.97	372.10	512.07
5976-INTEREST AND ADMIN EXPENSE-DWSRF LOANS			
5980 T BILL FEE	25.00	0.00	75.00
6000-DEPRECIATION	46,774.84	46,774.84	233,874.20
Total 5825-NON BUDGETED EXPENSES	\$85,979.65	\$48,148.69	\$452,548.15
Total Other Expenses	\$85,979.65	\$48,148.69	\$452,548.15
Net Other Income	\$65,266.49	\$65,079.15	-\$227,469.74
Net Income	\$107,292.39	\$92,738.19	-\$81,683.11

**Central Oklahoma Master Conservancy District**  
**Budget vs. Actuals: FY\_2023\_2024 - FY24 P&L**  
 July - November, 2023

	Oct 2023				Nov 2023				Total			
	Actual	Budget	over Budget	% of Budget	Actual	Budget	over Budget	% of Budget	Actual	Budget	over Budget	% of Budget
<b>Income</b>												
<b>4900-ASSESSMENTS</b>												
4901-MUNI SHARE, OPERATING COST												
4902-DEL CITY	15,514.25	15,514.25	0.00	100.00%	15,514.25	15,514.25	0.00	100.00%	77,571.25	77,571.25	0.00	100.00%
4903-MIDWEST CITY	39,669.50	39,669.50	0.00	100.00%	39,669.50	39,669.50	0.00	100.00%	198,347.50	198,347.50	0.00	100.00%
4904-NORMAN	43,008.00	43,008.00	0.00	100.00%	43,008.00	43,008.00	0.00	100.00%	215,040.00	215,040.00	0.00	100.00%
<b>Total 4901-MUNI SHARE, OPERATING COST</b>	<b>\$98,191.75</b>	<b>\$98,191.75</b>	<b>\$0.00</b>	<b>100.00%</b>	<b>\$98,191.75</b>	<b>\$98,191.75</b>	<b>\$0.00</b>	<b>100.00%</b>	<b>\$490,958.75</b>	<b>\$490,958.75</b>	<b>\$0.00</b>	<b>100.00%</b>
4905-MUNI SHARE, POWER												
4906-DEL CITY	7,395.95	7,395.95	0.00	100.00%	6,875.31	6,875.31	0.00	100.00%	27,046.58	27,046.58	0.00	100.00%
4907-MIDWEST CITY	34,621.84	34,621.84	0.00	100.00%	29,132.30	29,132.30	0.00	100.00%	138,579.54	138,579.54	0.00	100.00%
4908-NORMAN	43,655.23	43,655.23	0.00	100.00%	24,710.85	24,710.85	0.00	100.00%	153,731.82	153,731.82	0.00	100.00%
<b>Total 4905-MUNI SHARE, POWER</b>	<b>\$85,673.02</b>	<b>\$85,673.02</b>	<b>\$0.00</b>	<b>100.00%</b>	<b>\$60,718.46</b>	<b>\$60,718.46</b>	<b>\$0.00</b>	<b>100.00%</b>	<b>\$319,357.94</b>	<b>\$319,357.94</b>	<b>\$0.00</b>	<b>100.00%</b>
<b>Total 4900-ASSESSMENTS</b>	<b>\$183,864.77</b>	<b>\$183,864.77</b>	<b>\$0.00</b>	<b>100.00%</b>	<b>\$158,910.21</b>	<b>\$158,910.21</b>	<b>\$0.00</b>	<b>100.00%</b>	<b>\$810,316.69</b>	<b>\$810,316.69</b>	<b>\$0.00</b>	<b>100.00%</b>
4921-BOAT DOCK RENT INCOME	600.00	600.00	0.00	100.00%		600.00	-600.00	0.00%	2,400.00	3,000.00	-600.00	80.00%
4923-INVEST INT DIVS & GAINS	15,471.53	10,416.67	5,054.86	148.53%	5,202.20	10,416.67	-5,214.47	49.94%	54,895.27	52,083.35	2,811.92	105.40%
4936-OK DEPARTMENT OF TOURISM & REC (OTRD)									17,171.00	17,171.00	0.00	100.00%
<b>Total Income</b>	<b>\$199,936.30</b>	<b>\$194,881.44</b>	<b>\$5,054.86</b>	<b>102.59%</b>	<b>\$164,112.41</b>	<b>\$169,926.88</b>	<b>-\$5,814.47</b>	<b>96.58%</b>	<b>\$884,782.96</b>	<b>\$882,571.04</b>	<b>\$2,211.92</b>	<b>100.25%</b>
<b>Gross Profit</b>	<b>\$199,936.30</b>	<b>\$194,881.44</b>	<b>\$5,054.86</b>	<b>102.59%</b>	<b>\$164,112.41</b>	<b>\$169,926.88</b>	<b>-\$5,814.47</b>	<b>96.58%</b>	<b>\$884,782.96</b>	<b>\$882,571.04</b>	<b>\$2,211.92</b>	<b>100.25%</b>
<b>Expenses</b>												
<b>5000-PERSONNEL</b>												
5000.1-EMPLOYEES' WAGES	43,203.02	44,628.58	-1,425.56	96.81%	43,207.30	44,628.58	-1,421.28	96.82%	220,995.37	223,142.90	-2,147.53	99.04%
5009-EMPLOYEES' RETIREMENT	4,542.72	3,992.75	549.97	113.77%	4,542.73	3,992.75	549.98	113.77%	23,775.11	19,963.75	3,811.36	119.09%
5010-DIRECTORS' EXPENSES	0.00	416.67	-416.67	0.00%	0.00	416.67	-416.67	0.00%	0.00	2,083.35	-2,083.35	0.00%
5011-PAYROLL TAXES	3,231.26	3,414.08	-182.82	94.65%	3,525.60	3,414.08	111.52	103.27%	18,366.09	17,070.40	1,295.69	107.59%
5012-TRAINING, EDUCATION&TRAVEL	257.67	958.33	-700.66	26.89%		958.33	-958.33	0.00%	3,521.39	4,791.65	-1,270.26	73.49%
5013-UNIFORM & BOOTS ALLOWANCE	286.97	258.33	28.64	111.09%	181.80	258.33	-76.53	70.38%	972.88	1,291.65	-318.77	75.32%
5014-EMPLOYEE HEALTH, ETC, INS.	4,178.07	4,646.08	-468.01	89.93%	4,178.07	4,646.08	-468.01	89.93%	20,890.35	23,230.40	-2,340.05	89.93%
5015-WORKMEN'S COMPENSATION	0.00	1,250.00	-1,250.00	0.00%	0.00	1,250.00	-1,250.00	0.00%	0.00	6,250.00	-6,250.00	0.00%
<b>Total 5000-PERSONNEL</b>	<b>\$55,699.71</b>	<b>\$59,564.82</b>	<b>-\$3,865.11</b>	<b>93.51%</b>	<b>\$55,635.50</b>	<b>\$59,564.82</b>	<b>-\$3,929.32</b>	<b>93.40%</b>	<b>\$288,521.19</b>	<b>\$297,824.10</b>	<b>-\$9,302.91</b>	<b>96.88%</b>
<b>5100-MAINTENANCE</b>												
5101-PLANT& DAM R&M, SUPPLIES	743.97	10,666.67	-9,922.70	6.97%	2,562.28	10,666.67	-8,104.39	24.02%	4,898.06	53,333.35	-48,435.29	9.18%
5103-VEHICLE OPS, R&M	1,660.18	2,083.33	-423.15	79.69%	270.42	2,083.33	-1,812.91	12.98%	5,417.20	10,416.65	-4,999.45	52.01%
5104-BUILDINGS ROADS & GROUNDS	2.59	1,458.33	-1,455.74	0.18%		1,458.33	-1,458.33	0.00%	4,254.79	7,291.65	-3,036.86	58.35%
5106-EQUIPMENT R&M, RENTAL	1,128.41	3,722.58	-2,596.17	30.26%	86.90	3,722.58	-3,635.68	2.33%	18,961.91	18,612.90	349.01	101.88%
5109-BOATHOUSE MAINTENANCE	1,873.76	600.00	1,273.76	312.29%	250.00	600.00	-350.00	41.67%	2,623.76	3,000.00	-376.24	87.46%
<b>Total 5100-MAINTENANCE</b>	<b>\$5,406.91</b>	<b>\$18,530.91</b>	<b>-\$13,124.00</b>	<b>29.18%</b>	<b>\$3,169.60</b>	<b>\$18,530.91</b>	<b>-\$15,361.31</b>	<b>17.10%</b>	<b>\$36,155.72</b>	<b>\$92,654.55</b>	<b>-\$56,498.83</b>	<b>39.02%</b>
<b>5200-UTILITIES</b>												
5201-TELEPHONE,PAGING,IT SERVIC	1,822.80	2,333.33	-510.53	78.12%	2,063.73	2,333.33	-269.60	88.45%	9,203.02	11,666.65	-2,463.63	78.88%
5204-ELECTRICITY	609.36	708.33	-98.97	86.03%	683.50	708.33	-24.83	96.49%	3,854.85	3,541.65	313.20	108.84%
5205-PROPANE	0.00	350.00	-350.00	0.00%	0.00	350.00	-350.00	0.00%	0.00	1,750.00	-1,750.00	0.00%
5206-WASTE REMOVAL	97.32	108.17	-10.85	89.97%	97.32	108.17	-10.85	89.97%	486.60	540.85	-54.25	89.97%
<b>Total 5200-UTILITIES</b>	<b>\$2,529.48</b>	<b>\$3,499.83</b>	<b>-\$970.35</b>	<b>72.27%</b>	<b>\$2,844.55</b>	<b>\$3,499.83</b>	<b>-\$655.28</b>	<b>81.28%</b>	<b>\$13,544.47</b>	<b>\$17,499.15</b>	<b>-\$3,954.68</b>	<b>77.40%</b>

<b>5300-INSURANCE AND BONDS</b>													
5301-INSURANCE	0.00	6,916.67	-6,916.67	0.00%	0.00	6,916.67	-6,916.67	0.00%	3,497.00	34,583.35	-31,086.35	10.11%	
5305-TREASURER & EMPLOYEE BONDS	0.00	20.83	-20.83	0.00%	0.00	20.83	-20.83	0.00%	0.00	104.15	-104.15	0.00%	
<b>Total 5300-INSURANCE AND BONDS</b>	<b>\$0.00</b>	<b>\$6,937.50</b>	<b>-\$6,937.50</b>	<b>0.00%</b>	<b>\$0.00</b>	<b>\$6,937.50</b>	<b>-\$6,937.50</b>	<b>0.00%</b>	<b>\$3,497.00</b>	<b>\$34,687.50</b>	<b>-\$31,190.50</b>	<b>10.08%</b>	
<b>5400-ADMINISTRATIVE EXPENSE</b>													
5401-OFFICE SUPPLIES, MATERIALS	1,492.25	1,531.25	-39.00	97.45%	1,025.79	1,531.25	-505.46	66.99%	6,850.49	7,656.25	-805.76	89.48%	
5404-WATERSHED IMPROVEMENT (LTWA)									25,000.00	25,000.00	0.00	100.00%	
<b>Total 5400-ADMINISTRATIVE EXPENSE</b>	<b>\$1,492.25</b>	<b>\$1,531.25</b>	<b>-\$39.00</b>	<b>97.45%</b>	<b>\$1,025.79</b>	<b>\$1,531.25</b>	<b>-\$505.46</b>	<b>66.99%</b>	<b>\$31,850.49</b>	<b>\$32,656.25</b>	<b>-\$805.76</b>	<b>97.53%</b>	
<b>5500-PROFESSIONAL SERVICES</b>													
5501-LEGAL	1,180.00	1,458.33	-278.33	80.91%	1,060.00	1,458.33	-398.33	72.69%	3,260.00	7,291.65	-4,031.65	44.71%	
5502-ACCOUNTING AND AUDIT	1,008.14	4,333.33	-3,325.19	23.26%	10,850.00	4,333.33	6,516.67	250.38%	18,460.36	21,666.65	-3,206.29	85.20%	
5503-CONSULTANTS AND ENGINEERS	4,875.75	5,833.33	-957.58	83.58%	1,045.41	5,833.33	-4,787.92	17.92%	11,099.96	29,166.65	-18,066.69	38.05%	
<b>Total 5500-PROFESSIONAL SERVICES</b>	<b>\$7,063.89</b>	<b>\$11,624.99</b>	<b>-\$4,561.10</b>	<b>60.76%</b>	<b>\$12,955.41</b>	<b>\$11,624.99</b>	<b>\$1,330.42</b>	<b>111.44%</b>	<b>\$32,820.32</b>	<b>\$58,124.95</b>	<b>-\$25,304.63</b>	<b>56.47%</b>	
<b>5600-WATER QUALITY SERVICES</b>													
5601-STREAM GAUGING (OWRB)									13,100.00	12,400.00	700.00	105.65%	
5603-WATER QUALITY MONITORING	0.00	3,333.33	-3,333.33	0.00%	0.00	3,333.33	-3,333.33	0.00%	0.00	16,666.65	-16,666.65	0.00%	
<b>Total 5600-WATER QUALITY SERVICES</b>	<b>\$0.00</b>	<b>\$3,333.33</b>	<b>-\$3,333.33</b>	<b>0.00%</b>	<b>\$0.00</b>	<b>\$3,333.33</b>	<b>-\$3,333.33</b>	<b>0.00%</b>	<b>\$13,100.00</b>	<b>\$29,066.65</b>	<b>-\$15,966.65</b>	<b>45.07%</b>	
<b>5800-PUMPING POWER</b>													
5800-PUMPING POWER	85,718.16	85,673.02	45.14	100.05%	60,673.33	60,718.46	-45.13	99.93%	319,357.95	319,357.94	0.01	100.00%	
<b>5950-ASSET PURCHASES &amp; RESERVES</b>													
5950-ASSET PURCHASES & RESERVES	0.00	2,500.00	-2,500.00	0.00%	0.00	2,500.00	-2,500.00	0.00%	0.00	12,500.00	-12,500.00	0.00%	
<b>Total Expenses</b>	<b>\$157,910.40</b>	<b>\$193,195.65</b>	<b>-\$35,285.25</b>	<b>81.74%</b>	<b>\$136,304.18</b>	<b>\$168,241.09</b>	<b>-\$31,936.91</b>	<b>81.02%</b>	<b>\$738,847.14</b>	<b>\$894,371.09</b>	<b>-\$155,523.95</b>	<b>82.61%</b>	
<b>Net Operating Income</b>	<b>\$42,025.90</b>	<b>\$1,685.79</b>	<b>\$40,340.11</b>	<b>2492.95%</b>	<b>\$27,808.23</b>	<b>\$1,685.79</b>	<b>\$26,122.44</b>	<b>1649.57%</b>	<b>\$145,935.82</b>	<b>-\$11,800.05</b>	<b>\$157,735.87</b>	<b>-1236.74%</b>	
<b>Other Income</b>													
<b>4920-OTHER REVENUES</b>													
4921.5-MISCELLANEOUS RECEIPTS	0.00		0.00		7,649.06		7,649.06		7,649.06		7,649.06		
4930-SECURITIES VALUE ADJUSTS	-24,985.66		-24,985.66		102,070.85		102,070.85		17,827.68		17,827.68		
4934-OWRB ARPA FUNDS	161,070.00		161,070.00		0.00		0.00		161,070.00		161,070.00		
4935 INTEREST INCOME	15,161.80		15,161.80		3,507.93		3,507.93		38,531.67		38,531.67		
<b>Total 4920-OTHER REVENUES</b>	<b>\$151,246.14</b>		<b>\$151,246.14</b>		<b>\$113,227.84</b>		<b>\$113,227.84</b>		<b>\$225,078.41</b>		<b>\$225,078.41</b>		
<b>Total Other Income</b>	<b>\$151,246.14</b>		<b>\$151,246.14</b>		<b>\$113,227.84</b>		<b>\$113,227.84</b>		<b>\$225,078.41</b>		<b>\$225,078.41</b>		
<b>Other Expenses</b>													
<b>5825-NON BUDGETED EXPENSES</b>													
5833-CEC PROJECT	32,096.44	61,672.33	-29,575.89	52.04%	981.75	61,672.33	-60,690.58	1.59%	43,370.46	308,361.65	-264,991.19	14.06%	
5834-ARPA PROJECT	60.00	73,828.91	-73,768.91	0.08%	20.00	73,828.91	-73,808.91	0.03%	163,290.04	369,144.55	-205,854.51	44.23%	
5837 MOTOR REFURBISHMENT	6,883.40	2,605.49	4,277.91	264.19%	0.00	2,605.49	-2,605.49	0.00%	11,426.38	13,027.45	-1,601.07	87.71%	
5838-OFFICE BACK-UP POWER	139.97	4,166.67	-4,026.70	3.36%	372.10	4,166.67	-3,794.57	8.93%	512.07	20,833.35	-20,321.28	2.46%	
5980 T BILL FEE	25.00		25.00		0.00		0.00		75.00		75.00		
6000-DEPRECIATION	46,774.84		46,774.84		46,774.84		46,774.84		233,874.20		233,874.20		
<b>Total 5825-NON BUDGETED EXPENSES</b>	<b>\$85,979.65</b>	<b>\$142,273.40</b>	<b>-\$56,293.75</b>	<b>60.43%</b>	<b>\$48,148.69</b>	<b>\$142,273.40</b>	<b>-\$94,124.71</b>	<b>33.84%</b>	<b>\$452,548.15</b>	<b>\$711,367.00</b>	<b>-\$258,818.85</b>	<b>63.62%</b>	
<b>Total Other Expenses</b>	<b>\$85,979.65</b>	<b>\$142,273.40</b>	<b>-\$56,293.75</b>	<b>60.43%</b>	<b>\$48,148.69</b>	<b>\$142,273.40</b>	<b>-\$94,124.71</b>	<b>33.84%</b>	<b>\$452,548.15</b>	<b>\$711,367.00</b>	<b>-\$258,818.85</b>	<b>63.62%</b>	
<b>Net Other Income</b>	<b>\$65,266.49</b>	<b>-\$142,273.40</b>	<b>\$207,539.89</b>	<b>-45.87%</b>	<b>\$65,079.15</b>	<b>-\$142,273.40</b>	<b>\$207,352.55</b>	<b>-45.74%</b>	<b>-\$227,469.74</b>	<b>-\$711,367.00</b>	<b>\$483,897.26</b>	<b>31.98%</b>	
<b>Net Income</b>	<b>\$107,292.39</b>	<b>-\$140,587.61</b>	<b>\$247,880.00</b>	<b>-76.32%</b>	<b>\$92,887.38</b>	<b>-\$140,587.61</b>	<b>\$233,474.99</b>	<b>-66.07%</b>	<b>-\$81,533.92</b>	<b>-\$723,167.05</b>	<b>\$641,633.13</b>	<b>11.27%</b>	

# MONTHLY SUMMARY

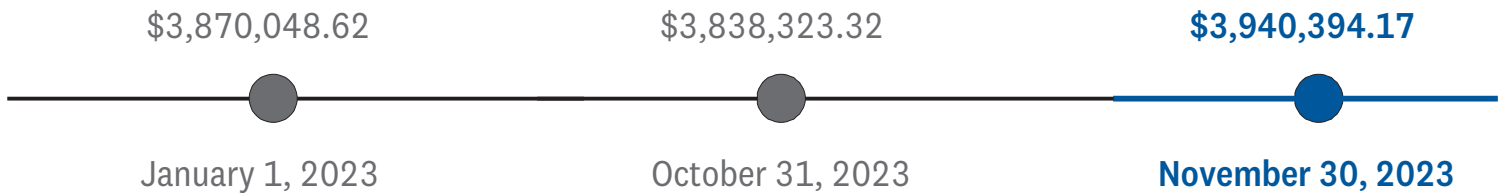
## NOVEMBER 2023

CENTRAL OKLAHOMA  
MASTER CONSERVANCY DISTRICT  
A NON-PROFIT ORGANIZATION  
12500 ALAMEDA DRIVE  
NORMAN OK 73026

**Your Financial Advisor:**  
**ROBERT LOCKARD SR**  
310 W MAIN ST  
ARDMORE, OK 73401  
rob.lockard@lpl.com  
(580) 221-5250



**INVESTMENT OBJECTIVE**  
Income with Capital Preservation



### CHANGE IN VALUE

Account		Current Month	Quarter to Date	Year to Date
<b>COMCD</b>	<b>Starting Value</b>	<b>\$3,838,323.32</b>	<b>\$3,863,308.98</b>	<b>\$3,870,048.62</b>
Total Change in Value of Assets		\$102,070.85	\$77,085.19	\$70,345.55
Inflows		\$0.00	\$0.00	\$0.00
Outflows		(\$13,596.58)	(\$18,798.78)	(\$115,768.13)
Investment Returns		\$115,667.43	\$95,883.97	\$186,113.68
Total Ending Value		\$3,940,394.17	\$3,940,394.17	\$3,940,394.17

# MONTHLY SUMMARY

November 1, 2023-November 30, 2023

Account Ending in (2885)

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## ASSET ALLOCATION

Asset Type	Asset Value
Cash (1.33%)	\$52,296.91
Equities (11.07%)	\$436,363.19
Fixed Income (81.79%)	\$3,223,021.77
Other ((0.16)%)	\$(6,202.18)
Undefined (5.96%)	\$234,914.48
<b>Total (100.00%)</b>	<b>\$3,940,394.17</b>

Asset Allocation data reflects the breakdown of assets in your accounts, including the assets held within any mutual funds and ETFs. The amounts may not match with your account balances.

## EXPLORE MORE



Sign in to Account View by scanning the code above.

## ACCOUNT HOLDINGS

### CASH AND CASH EQUIVALENTS

Description	Interest/Dividend Paid	Interest/Dividend Rate <sup>1</sup>	Previous Balance	Current Balance
INSURED CASH ACCOUNT				
M and T Trust Company				\$91.62
TOTAL INSURED CASH ACCOUNT <sup>2</sup>	\$7.20	1.14%		\$91.62
<b>Total Cash and Cash Equivalents</b>				<b>\$91.62</b>

### MUTUAL FUNDS, PUBLICLY TRADED FUNDS AND INTERVAL FUNDS

Security ID / Description	Quantity Price	Market Value	Cost Basis	Unrealized Gain/Loss	Est Annual Income <sup>a</sup> Est 30-Day Yield <sup>a</sup>
ABALX AMERICAN BALANCED CL A <sub>C</sub>	12,223.329 \$31.02	\$379,167.66	\$384,262.17	\$(5,094.51)	\$4,882.00 1.29%
BFIAX AMERICAN INFLATION LINKED BOND CL A <sub>C</sub>	9,798.380 \$8.98	\$87,989.45	\$108,449.92	\$(20,460.47)	\$5,499.00 6.25%
MIAQX AMERICAN MULTISECTOR INCOME CL A <sub>C</sub>	9,677.230 \$9.02	\$87,288.61	\$105,564.23	\$(18,275.62)	\$5,101.00 5.86%

ACCOUNT HOLDINGS continued on next page →

<sup>1</sup> Bank Deposit Sweep interest is the current rate. Money Market Sweep dividend is a 30-day yield.

<sup>2</sup> Bank Deposit Sweep Accounts are FDIC insured, are not obligations of LPL Financial or SIPC, and are not available for margin purposes. See message section for further information.

<sup>a</sup> Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

# MONTHLY SUMMARY

November 1, 2023-November 30, 2023

Account Ending in (2885)

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## ACCOUNT HOLDINGS continued

### MUTUAL FUNDS, PUBLICLY TRADED FUNDS AND INTERVAL FUNDS - continued

Security ID / Description	Quantity Price	Market Value	Cost Basis	Unrealized Gain/Loss	Est Annual Income <sup>a</sup> Est 30-Day Yield <sup>a</sup>
CAIBX CAPITAL INCOME BUILDER CL A <sub>C</sub>	1,500.114 \$64.10	\$96,157.30	\$105,184.47	\$(9,027.17)	\$2,456.00 2.56%
CWGIX CAPITAL WORLD GROWTH & INCOME CL A <sub>C</sub>	484.284 \$58.33	\$28,248.28	\$32,222.14	\$(3,973.86)	\$520.00 1.85%
EALDX EATON VANCE SHORT DURATION GOVT INCOME CL A <sub>C</sub>	32,135.140 \$7.31	\$234,907.87	\$259,528.86	\$(24,620.99)	\$11,998.00 5.11%
AMECX INCOME FUND OF AMERICA CL A <sub>C</sub>	2,118.862 \$22.66	\$48,013.41	\$55,820.75	\$(7,807.34)	\$1,394.00 2.91%
AWSHX WASHINGTON MUTUAL INVESTORS CL A <sub>C</sub>	1,302.952 \$56.11	\$73,108.63	\$75,940.27	\$(2,831.64)	\$1,300.00 1.79%
<b>Total</b>		<b>\$1,034,881.21</b>	<b>\$1,126,972.81</b>	<b>\$(92,091.60)</b>	<b>\$33,150.00</b>

<sup>C</sup> Dividends and/or capital gains distributed by this security will be distributed as cash.

### FIXED INCOME - CORPORATE BONDS

Security ID / Description	Quantity Price	Market Value	Cost Basis	Unrealized Gain/Loss Accrued Interest	Est Annual Income <sup>a</sup> Est 30-Day Yield <sup>a</sup>
002824BB5 ABBOTT LABS SR NOTE CPN 2.950% DUE 03/15/25 DTD 03/10/15 FC 09/15/15 CALL 12/15/24 @ 100.000 MOODYS RATING: AA3 S&P RATING: AA-	312,000.000 \$97.33	\$303,671.47	\$312,829.94	(\$9,158.47) \$1,943.07	\$9,204.00 3.03%
097023BK0 BOEING CO SR NOTE CPN 3.300% DUE 03/01/35 DTD 02/20/15 FC 09/01/15 CALL 09/01/34 @ 100.000 MOODYS RATING: BAA2 S&P RATING: BBB-	44,000.000 \$76.46	\$33,642.31	\$44,739.83	(\$11,097.52) \$363.00	\$1,452.00 4.32%
097023BR5 BOEING CO SR NOTE CPN 2.250% DUE 06/15/26 DTD 05/18/16 FC 12/15/16 CALL 03/15/26 @ 100.000 MOODYS RATING: BAA2 S&P RATING: BBB-	150,000.000 \$92.46	\$138,693.60	\$150,440.84	(\$11,747.24) \$1,556.25	\$3,375.00 2.43%

ACCOUNT HOLDINGS continued on next page →

<sup>a</sup> Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

# MONTHLY SUMMARY

November 1, 2023-November 30, 2023

Account Ending in (2885)

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## ACCOUNT HOLDINGS Continued

### FIXED INCOME - CORPORATE BONDS - continued

Security ID / Description	Quantity Price	Market Value	Cost Basis	Unrealized	Est Annual Income <sup>a</sup> Est 30-Day Yield <sup>a</sup>
				Gain/Loss Accrued Interest	
<b>05565QDM7</b> BP CAP MKTS PLC GTD NOTE CPN 3.588% DUE 04/14/27 DTD 02/14/17 FC 10/14/17 CALL 01/14/27 @ 100.000 MOODYS RATING: A2 S&P RATING: A-	150,000.000 \$95.74	\$143,605.65	\$157,168.23	(\$13,562.58) \$702.65	\$5,382.00 3.75%
<b>10922NAC7</b> BRIGHTHOUSE FINL INC SR NOTE CPN 3.700% DUE 06/22/27 DTD 12/22/17 FC 06/22/18 CALL 03/22/27 @ 100.000 MOODYS RATING: BAA3 S&P RATING: BBB+	250,000.000 \$92.57	\$231,413.25	\$246,119.20	(\$14,705.95) \$4,085.42	\$9,250.00 4.00%
<b>36966TDN9</b> GENL ELECTRIC CAP CORP INTERNOTES SURVIVOR OPTION CPN 4.300% DUE 11/15/25 DTD 11/03/11 FC 05/15/12 MOODYS RATING: BAA1 S&P RATING: BBB+	300,000.000 \$96.95	\$290,842.20	\$300,000.00	(\$9,157.80) \$573.33	\$12,900.00 4.44%
<b>38143C6D8</b> GOLDMAN SACHS GROUP INC MEDIUM TERM NOTE NO SURVIVOR OPTION CPN 3.000% DUE 08/15/29 DTD 08/04/16 FC 09/15/16 CALL 08/15/28 @ 100.000 MOODYS RATING: A2 S&P RATING: BBB+	161,000.000 \$83.88	\$135,045.67	\$161,034.86	(\$25,989.19) \$214.67	\$4,830.00 3.58%
<b>444859BK7</b> HUMANA INC SR NOTE CPN 3.125% DUE 08/15/29 DTD 08/15/19 FC 02/15/20 CALL 05/15/29 @ 100.000 MOODYS RATING: BAA2 S&P RATING: BBB+	155,000.000 \$89.91	\$139,362.05	\$161,360.89	(\$21,998.84) \$1,426.22	\$4,843.00 3.48%
<b>594918BB9</b> MICROSOFT CORP NOTE CPN 2.700% DUE 02/12/25 DTD 02/12/15 FC 08/12/15 CALL 11/12/24 @ 100.000 MOODYS RATING: AAA S&P RATING: AAA	76,000.000 \$97.23	\$73,892.21	\$75,332.36	(\$1,440.15) \$621.30	\$2,052.00 2.78%

ACCOUNT HOLDINGS continued on next page →

<sup>a</sup> Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.



# MONTHLY SUMMARY

November 1, 2023-November 30, 2023

Account Ending in (2885)

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## ACCOUNT HOLDINGS Continued

### FIXED INCOME - CORPORATE BONDS - continued

Security ID / Description	Quantity Price	Market Value	Cost Basis	Unrealized	Est Annual Income <sup>a</sup> Est 30-Day Yield <sup>a</sup>
				Gain/Loss Accrued Interest	
<b>594918BC7</b> MICROSOFT CORP NOTE CPN 3.500% DUE 02/12/35 DTD 02/12/15 FC 08/12/15 CALL 08/12/34 @ 100.000 MOODYS RATING: AAA S&P RATING: AAA	165,000.000 \$91.19	\$150,462.51	\$170,244.56	(\$19,782.05) \$1,748.54	\$5,775.00 3.84%
<b>655664AS9</b> NORDSTROM INC SR NOTE CPN 4.000% DUE 03/15/27 DTD 03/09/17 FC 09/15/17 CALL 12/15/26 @ 100.000 MOODYS RATING: BA1 S&P RATING: BB+	250,000.000 \$93.50	\$233,750.00	\$253,570.35	(\$19,820.35) \$2,111.11	\$10,000.00 4.28%
<b>717081DM2</b> PFIZER INC SR NOTE CPN 3.400% DUE 05/15/24 DTD 05/15/14 FC 11/15/14 MOODYS RATING: A1 S&P RATING: A+	132,000.000 \$99.00	\$130,676.96	\$132,175.71	(\$1,498.75) \$199.47	\$4,488.00 3.43%
<b>718549AB4</b> PHILLIPS 66 PRTNRS LP SR NOTE CPN 3.605% DUE 02/15/25 DTD 02/23/15 FC 08/15/15 CALL 11/15/24 @ 100.000 MOODYS RATING: WR S&P RATING: NOT RATED	107,000.000 \$97.61	\$104,442.37	\$106,937.86	(\$2,495.49) \$1,135.78	\$3,857.00 3.69%
<b>844741BC1</b> SOUTHWEST ARLNS CO NOTE CPN 3.000% DUE 11/15/26 DTD 11/04/16 FC 05/15/17 CALL 08/15/26 @ 100.000 MOODYS RATING: BAA1 S&P RATING: BBB	150,000.000 \$93.42	\$140,127.15	\$150,347.05	(\$10,219.90) \$200.00	\$4,500.00 3.21%
<b>Total</b>		<b>\$2,249,627.40</b>	<b>\$2,422,301.68</b>	<b>(\$172,674.28) \$16,880.81</b>	<b>\$81,908.00</b>

<sup>a</sup> Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

# MONTHLY SUMMARY

November 1, 2023-November 30, 2023

Account Ending in (2885)



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## ACCOUNT HOLDINGS Continued

### CERTIFICATES OF DEPOSIT

Security ID / Description	Quantity Price	Market Value	Cost Basis	Unrealized Gain/Loss Accrued Interest	Est Annual Income <sup>a</sup> Est 30-Day Yield <sup>a</sup>
<b>028402CA1</b> AMERICAN NATL BANK OMAHA NE CD FDIC #19300 CLLB CPN 4.500% DUE 01/13/26 DTD 01/13/23 FC 02/13/23 CALL 01/13/24 @ 100.000	165,000.000 \$98.53	\$162,578.29	\$165,000.61	(\$2,422.32) \$366.16	\$7,425.00 4.57%
<b>06740KRW9</b> BARCLAYS BANK DE WILMINGTON DE CD FDIC #57203 IAM CPN 5.100% DUE 07/28/25 DTD 07/26/23 FC 01/26/24	50,000.000 \$98.55	\$49,274.05	\$50,004.17	(\$730.12) \$894.25	\$2,550.00 5.18%
<b>69506YVW6</b> PACIFIC WESTERN BANK BEVERLY HILLS CA CD FDIC #24045 CLLB CPN 4.650% DUE 02/09/26 DTD 02/08/23 FC 08/08/23 CALL 02/08/24 @ 100.000	200,000.000 \$98.64	\$197,272.60	\$200,001.76	(\$2,729.16) \$2,930.14	\$9,300.00 4.71%
<b>795451CP6</b> SALLIE MAE BANK SALT LAKE CITY UT CD FDIC #58177 CPN 4.400% DUE 01/12/26 DTD 01/11/23 FC 07/11/23	250,000.000 \$98.67	\$246,669.00	\$250,003.59	(\$3,334.59) \$4,309.59	\$11,000.00 4.46%
<b>Total</b>		<b>\$655,793.94</b>	<b>\$665,010.13</b>	<b>(\$9,216.19)</b> <b>\$8,500.14</b>	<b>\$30,275.00</b>

## CASH AND NON-CASH TRANSACTION ACTIVITY

 <b>Inflows</b> Additions		 <b>Outflows</b> Debits	
Securities Sold	\$0.00	Securities Purchased	\$0.00
Income and Distributions	\$13,603.79	Reinvestments	\$0.00
Inflows	\$0.00	Outflows	(\$13,596.58)
Credits and Other	\$0.00	Fees and Other	\$0.00

<sup>a</sup> Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

# MONTHLY SUMMARY

November 1, 2023-November 30, 2023

Account Ending in (2885)

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## INCOME AND DISTRIBUTIONS AND REINVESTMENTS

Date	Security ID / Description	Transaction	Amount
11/01/2023	<b>MIAQX</b> AMERICAN MULTISECTOR INCOME CL A 110123 9,677.23000	Cash Dividend	\$475.89
11/01/2023	<b>EALDX</b> EATON VANCE SHORT DURATION GOVT INCOME CL A 103123 32,135.14000 AS OF 10/31/23	Cash Dividend	\$1,143.57
11/13/2023	<b>028402CA1</b> AMERICAN NATL BANK OMAHA NE CD FDIC #19300 CLLB CPN 4.500% DUE 01/13/26 DTD 01/13/23 FC 02/13/23 111323 165,000	Interest	\$630.62
11/15/2023	<b>38143C6D8</b> GOLDMAN SACHS GROUP INC MEDIUM TERM NOTE NO SURVIVOR OPTION CPN 3.000% DUE 08/15/29 DTD 08/04/16 FC 09/15/16 111523 161,000	Interest	\$402.50
11/15/2023	<b>36966TDN9</b> GENL ELECTRIC CAP CORP INTERNOTES SURVIVOR OPTION CPN 4.300% DUE 11/15/25 DTD 11/03/11 FC 05/15/12 111523 300,000	Interest	\$6,450.00
11/15/2023	<b>717081DM2</b> PFIZER INC SR NOTE CPN 3.400% DUE 05/15/24 DTD 05/15/14 FC 11/15/14 111523 132,000	Interest	\$2,244.00
11/15/2023	<b>844741BC1</b> SOUTHWEST ARLNS CO NOTE CPN 3.000% DUE 11/15/26 DTD 11/04/16 FC 05/15/17 CALL 08/15/26 @ 100.000 111523 150,000	Interest	\$2,250.00
11/29/2023	INT 11/15-11/15 @ 1.150% 01 DAY AVG BAL= 402	Credit Int	\$0.01
11/30/2023	<b>09999227</b> INSURED CASH ACCOUNT 113023 91	Interest	\$7.20

## INFLOWS AND OUTFLOWS

Date	Transaction	Description	Quantity	Amount
11/01/2023	Sweep (Deposit) <sup>3</sup>	INSURED CASH ACCOUNT	0.000	\$1,619.46
11/13/2023	Sweep (Deposit) <sup>3</sup>	INSURED CASH ACCOUNT	0.000	\$630.62
11/15/2023	Sweep (Deposit) <sup>3</sup>	INSURED CASH ACCOUNT	0.000	\$10,944.00
11/16/2023	Sweep (Deposit) <sup>3</sup>	INSURED CASH ACCOUNT	0.000	\$402.50
11/30/2023	Sweep (Interest Deposit) <sup>3</sup>	INSURED CASH ACCOUNT	0.000	\$7.20
11/30/2023	Sweep (Withdrawal) <sup>3</sup>	INSURED CASH ACCOUNT	0.000	(\$13,596.57)
11/30/2023	ACH Funds	INCOME DISTRIBUTION TRACE # 021000010002469	0.000	(\$13,596.58)

<sup>3</sup> Bank Deposit and Money Market Sweep transactions reflect the net of all transfers of free cash balance to and from your sweep on the date referenced.

# MONTHLY SUMMARY

November 1, 2023-November 30, 2023

Account Ending in (2885)

## Documents

### Fund Documents

11/21/2023	<b>EALDX</b> EV SHORT DURATION GOVERNMENT INC FD A	Section 19a
10/26/2023	<b>EALDX</b> EV SHORT DURATION GOVERNMENT INC FD A	Section 19a
11/21/2023	<b>EALDX</b> EV SHORT DURATION GOVERNMENT INC FD A	Section 19a

SEE UPCOMING  
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DOCUMENTS



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# MONTHLY SUMMARY

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## MESSAGES

### Messages From LPL Financial

#### LPL RELATIONSHIP SUMMARY AVAILABLE ONLINE

LPL financial professionals offer brokerage services, investment advisory services, or both, depending on their licenses. Brokerage and investment advisory services, and the fees we charge for them, differ, and it's important that you understand the differences. Our Relationship Summary explains the various services we offer, how we charge for those services, and conflicts of interest that exist when we provide our services. Please visit [lpl.com/CRS](http://lpl.com/CRS) to learn more.

#### ICA INFORMATION

Your balances in the Insured Cash Account (ICA) Program are allocated to each depository institution on the Priority Bank List in increments of \$246,500 for individual and trust accounts and \$493,000 for joint accounts. As always, you should review your cash positions with various depository institutions to determine whether your cash is within the FDIC insurance coverage limits. For more information about FDIC insurance limits, please contact your financial professional or go to [www.fdic.gov](http://www.fdic.gov)

Please be advised that the Priority Bank List for the ICA Program may change from time to time. These changes include the order of priority in which banks are listed as well as the addition and removal of banks. Please be sure to consult your financial professional or [LPL.com](http://LPL.com) periodically throughout the month for recent updates and information regarding how these changes may impact your account.

#### POLICY FOR BENEFICIARY ACCOUNTS

Beneficiaries are required to open an account to receive any securities or cash from a deceased client's account. LPL generally will divide all securities and cash proportionately among the designated beneficiaries based on the allocations indicated by the account holder. However, this policy and procedure address specific situations, such as the treatment of securities remaining after the proportionate division of assets. You may visit [lpl.com](http://lpl.com) to learn more.

#### SETTLEMENT FEE

LPL passes through certain regulatory fees incurred by LPL as a result of executing the transaction on your behalf. This includes fees charged under Section 31 of the Securities Exchange Act for sell transactions in equities and options.

#### NON-TRANSFERABLE SECURITIES THAT ARE WORTHLESS

As part of our continuing effort to provide exceptional service, please be advised that LPL Financial will remove any non-transferable securities that are worthless from customer accounts. Your account may or may not be affected. Should you have any questions or concerns, please contact your financial professional.

#### ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY)

EAI is calculated by taking the indicated annualized dividend and multiplying by the number of shares owned. EY is calculated by taking the EAI and dividing by the aggregate value of the shares owned. If no dividend information is available, no EAI or EY numbers will be generated. EAI and EY for certain types of securities could include a return of principal or capital gains in which case the EAI and EY would be overstated. EAI and EY are estimates and the actual income and yield might be lower or higher than the estimated amounts. Additionally the actual dividend or yield may vary depending on the security issuer's approval of paying the dividends. EY reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate.

# MONTHLY SUMMARY

November 1, 2023-November 30, 2023

Account Ending in (2885)

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**ACCOUNT PROTECTION** LPL Financial is a member of the Securities Investor Protection Corporation (SIPC). SIPC provides protection for your account up to \$500,000, of which \$250,000 may be claims for cash, in the unlikely event that LPL fails financially. SIPC protection limits apply to all accounts that you hold in a particular capacity. For example, if you hold two accounts at LPL as a sole account holder and third as a joint account holder, the two individual accounts are protected under SIPC up to a combined limit of \$500,000, and the joint account is protected under SIPC separately up to \$500,000. LPL Insured Cash Account (ICA) and LPL Deposit Cash Account (DCA) are not protected by SIPC. To get more information on SIPC, including an explanatory brochure, call SIPC directly at (202) 371-8300 or go to [www.sipc.org](http://www.sipc.org). The account protection applies when an SIPC member firm fails financially and is unable to meet its obligations to securities clients, but it does not protect against losses from the rise and fall in the market value of investments.

**ACCOUNT TYPES** For further information regarding the types of accounts available at LPL Financial and the differences between brokerage and advisory accounts, please visit [lpl.com](http://lpl.com) and go to Disclosures > Form CRS. Our goal is to ensure that you feel fully informed about the account options available to you.

**Adjusted Cost** The cost basis of securities is subject to adjustment for return of capital, return of principal, original issue discount, bond premium, acquisition premium, market discount, partnership distribution, option premium, corporate action, and wash sale. Adjusted cost is used to calculate unrealized and realized gain or loss. When information is incomplete or missing, "N/A" is displayed and is treated as zero when calculating totals.

**ADJUSTMENTS TO OPTION CONTRACTS** As a general rule, corporate actions can result in an adjustment in the number of shares underlying an options contract, the exercise price, or both. Please review any adjustment to an option position. Contact your financial professional for further information about option contract adjustment or visit the Options Clearing Corporation (OCC) website to view Information Memos at <https://infomemo.theocc.com/infomemo/search>.

LPL FINANCIAL LLC is an affiliate of LPL Financial Holdings Inc.

**AGENCY** If your broker-dealer acts as your agent, or as agent for both you and another person in a transaction, the transaction details, including the identity of the seller or buyer and the source and amount of any fees or payments will be supplied upon written request.

**ASSET-BACKED SECURITIES** The actual yield from transactions in asset-backed securities (e.g., CMO, FNMA, FHLMC or GMNA transactions) may vary according to the rate at which the underlying assets or receivables are repaid. Information about yield factors is available from your financial professional on request.

**CHANGE OF ADDRESS** Please notify your financial professional or LPL Financial promptly in writing of any change of address.

**Cost Basis** For assets not purchased in the LPL account, you or the previous broker-dealer upon transfer may have provided the Date Acquired and Cost Basis of the position. If no such data was submitted, "N/A" is displayed. Liquidating transactions are automatically paired against holdings on a First In, First Out basis. Designating liquidations as "versus purchase" will cause the trade confirmation or other closed tax lot notification to reflect the selected closed tax lots. Since the cost basis on transferred securities may have been provided by another source, the cost basis information on your statement may not reflect accurate data or correspond to data on your trade confirmations. For accounts electing average cost, the total cost may be computed using a combination of averaged and non-averaged unit prices for eligible securities.

**DISCREPANCIES** Please notify both your financial professional and LPL Financial immediately of any discrepancies on your statement. If your financial professional is affiliated with another broker-dealer, you must notify them as well. Please see contact information on page 1 of this account statement. Additionally, any verbal communications should be reconfirmed in writing to each of the above parties to further protect your rights, including rights under the Securities Investor Protection Act.

**FRACTIONAL SHARE LIQUIDATION** For information on fractional share transactions, please refer to [LPL.com](http://LPL.com) > Disclosures > Market & Trading Disclosures > Fractional Share Transactions.

**FREE CREDIT BALANCES** LPL Financial may use your free credit balances subject to the limitations of 17 CFR Section 240.15c3-3 under the Securities Exchange Act of 1934. You have the right to receive from us, upon demand in the course of normal business, the delivery of any free credit balances to which you are entitled, any fully paid securities to which you are entitled, and any securities purchased on margin upon full payment of any indebtedness to LPL Financial. Balances in Client Cash Account are free credit balances.

**INVESTMENT RISK** LPL Financial is not a bank, savings and loan, or credit union. Securities and insurance offered through LPL and its affiliates are not FDIC, NCUA- or government-insured, not endorsed or guaranteed by LPL, its affiliates or any other financial institution, are not a deposit, and involve investment risk including possible loss of principal.

**LPL INSURED BANK DEPOSIT SWEEP PROGRAMS** Cash in the Insured Cash Account (ICA) and LPL Deposit Cash Account (DCA) programs is protected by the Federal Deposit Insurance Corporation (FDIC). LPL Financial allocates your money to the ICA program to banks in the order of the Priority Bank List, and to the DCA program to any bank on the Available Bank list, in increments up to the programs' disclosed amounts until your balance in each of the ICA and DCA programs is allocated to the program max. All banks are FDIC members. FDIC coverage is \$250,000 per depositor per bank (\$500,000 for joint account holders). More information on FDIC insurance is available on request, or by visiting [www.fdic.gov](http://www.fdic.gov). LPL Financial is not a bank. Unless otherwise disclosed, securities and other investments obtained through LPL Financial ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED AND MAY LOSE VALUE.

**MARGIN ACCOUNT** If you use margin, this statement combines information about your investment account(s) and a special miscellaneous account maintained for you under Section 220.6 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the separate account required by Regulation T is available for your inspection upon request.

**MONEY MARKET FUNDS** Money market fund transactions, if any, are displayed chronologically. The 30-day yield for the fund is also reflected as of the statement date.

**MUNICIPAL MATERIAL DISCLOSURE** Copies of any material disclosures for municipal bonds are available in the Electronic Municipal Market Access (EMMA) system at [www.emma.msrb.org](http://www.emma.msrb.org). To obtain specific municipal bond information, enter the nine-digit CUSIP number in the search field in the EMMA site. If you do not have access to the internet or would prefer a physical copy of the material disclosure, please contact your financial professional. Additional municipal bond information that may be available on [www.emma.msrb.org](http://www.emma.msrb.org) includes, but is not limited to, advance refunding documents, continuing disclosures including annual financial statements and notices of material events, real-time and historical trade data, daily market statistics, and education material.

N/A or "-" Data Information that displays as N/A or "-" is missing or incomplete and is treated as zero when calculating totals.

**OPTION CLIENTS** Information on commissions and other charges incurred in connection with the execution of options transactions are included in the transaction confirmations provided to you. They are available upon request. Promptly advise your financial professional of any material change in your investment objectives or financial situation.

**PRICING** Prices shown should only be used as a general guide to portfolio value and may vary from actual liquidation value. We receive prices from various services, which are sometimes unable to provide timely information. Where pricing sources are not readily available, estimated prices may be generated by a matrix system or market driven-pricing model, taking various factors into consideration. Information that displays as N/A or "-" is missing or incomplete and is treated as zero when calculating totals.

**PRINCIPAL** If your broker-dealer is acting as principal in a transaction, your broker-dealer has sold to you or bought from you the security, and may have received a profit from the transaction.

# MONTHLY SUMMARY

November 1, 2023-November 30, 2023

Account Ending in (2885)

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**Purchase Cost** The cost of assets purchased in the LPL account, excluding the cost of assets acquired by reinvested dividends and interest. The amount includes trade fees and commissions and excludes any accrued interest paid at purchase. The amount is subject to adjustment for return of capital, return of principal, original issue discount, bond premium, acquisition premium, market discount, partnership distribution, option premium, corporate action, and wash sale. For transferred securities, the amount could be the purchase amount or the adjusted cost amount you or the former institution provided to us. Transferred securities may not be included in Purchase Cost. When information is incomplete or missing, "N/A" is displayed and is treated as zero when calculating totals.

**REGULATION** All transactions are subject to the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market-and its clearing house, if any where the transactions are executed, and of the Financial Industry Regulatory Authority (FINRA).

**REINVESTMENT** The dollar amount of mutual fund distributions, money market fund income, or dividends on other securities on your statement may have been reinvested in additional shares. You will not receive confirmations for these reinvestment transactions. However, you may request information on these transactions by writing to LPL Financial. LPL will also, if requested, furnish you with the time of execution and the name of the person from whom your security was purchased.

**REVENUE SHARING** LPL may have a fee arrangement with the investment advisor or distributor ("sponsor") of the mutual fund you have purchased, called revenue-sharing. In such case, the sponsor pays LPL a fee based on the amount of your purchase, and LPL provides marketing support to the sponsor and allows the sponsor to access your financial professional so that the sponsor can promote such mutual funds. This arrangement gives LPL a financial incentive to have LPL clients invest in participating mutual funds instead of funds whose sponsor do not make such payments to LPL. Although your financial professional does not share in this compensation, this conflict of interest affects the ability of LPL to provide you with unbiased, objective investment advice concerning the selection of mutual funds for your account. This could mean that other mutual funds, whose sponsors do not make revenue sharing payments, may be more appropriate for your account than the mutual funds whose sponsors make revenue sharing payments to LPL. For a complete list of the participating sponsors, and the range of fee payments, please visit [LPL.com>Disclosures> Account Disclosures, Agreements, Fee Schedules & Conflicts of Interest>Third Party Compensation and Related Conflicts of Interest](https://www.lpl.com/Account-Disclosures-Agreements-Fee-Schedules-&Conflicts-of-Interest-Third-Party-Compensation-and-Related-Conflicts-of-Interest).

**SWEEP OPTION** Your account may provide for a daily sweep in an insured bank deposit sweep program-either LPL Insured Cash Account (ICA) or LPL Deposit Cash Account (DCA)-or a money market mutual fund. The balance in the ICA, DCA or money market mutual fund sweep may be liquidated on the customer's order and the proceeds returned to the securities account, or remitted to the customer. If you have any questions about your sweep option, including rates of the depository institutions currently participating in the sweep option, or you would like to change your sweep option, please contact your financial professional.

**TRADING AWAY POLICY** Additional information regarding trading practices of equity portfolio managers on Manager Select and Manager Access Select is available on [LPL.com > Disclosures > Market & Trading Disclosures > Third-Party Portfolio Manager Trading Practices](https://www.lpl.com/Market-&Trading-Disclosures-Third-Party-Portfolio-Manager-Trading-Practices).

Item D.3.



MINUTES OF THE REGULAR BOARD MEETING  
CENTRAL OKLAHOMA MASTER CONSERVANCY DISTRICT

Thursday, November 2, 2023

6:30 P.M.

Location: 12500 Alameda Dr. Norman, OK 73026

**A. Call to Order**

President Amanda Nairn called the meeting to Order at 6:30 pm.

Roll Call

Board Members Present:

President Amanda Nairn  
Vice President Michael Dean  
Secretary Dave Ballew  
Edgar O'Rear  
Española Bowen  
Steve Carano

Board Members Absent:

Treasurer Jann Knotts

Staff Present:

Kyle Arthur, General Manager  
Kelley Metcalf, Office Manager  
Tim Carr, Operations & Maintenance Supervisor

Others Present:

Dean Couch  
Susie Snider  
Richard Keithley  
Paul Streets  
Mark Roberts  
Rachel Croft  
Carrie Evenson

**B. Statement of Compliance with Open Meeting Act**

Kelley Metcalf, Office Manager, stated the notice of the monthly board meeting had been posted in compliance with the Open Meeting Act.

**C. Administrative**

### 1. Public Comment

None

### 2. Presentation from Oklahoma Tourism and Recreation Department

Ms. Nairn stated this is another piece of the educational series. She introduced Susie Snider who is the Park Manager of Lake Thunderbird State Park. Ms. Snider introduced Mr. Richard Keithley who is the Assistant Director of Operations-SE Region.

The Park is operated by Oklahoma Tourism and Recreation Department (OTRD) under a three-party agreement with the Bureau of Reclamation and the District. The agreement began in 1963. The agreement was renewed in 2010, for 50 years.

Ms. Snider talked about the many amenities offered, upgrades that have been completed, and some of the challenges. She also spoke about the economic impact. Lake Thunderbird State Park has more than 1 million visitors each year.

Ms. Nairn encouraged everyone to reach out to the State Legislators to let them know how important State Parks are.

In closing Ms. Snider thanked the “triangle partnership” between BOR and COMCD, and stated OTRD is looking forward to what the future holds.

### 3. Treasurer Report- September 2023 financials

Due to the absence of Ms. Knotts, Mr. Arthur reviewed and discussed the September financial statements.

Mr. Arthur pointed out that there is approximately \$750,000 in the two accounts. There was a Treasury bill (T-bill) purchased in October that is not reflected in the report. That T-bill has a 6-month term with a rate of 5.56%. In all there are 3 T-bills currently. Considering the past T-bills and the current ones, the total interest earnings from these are projected to be \$67,632.

Mr. Arthur pointed out account 1930- employee cash advances (\$1,878.54). Mr. Arthur discovered a mistake on payroll. When the accounting firm and new payroll system was implemented, an error occurred relative to the amount that the District contributes to the employees’ 457(b) plan. According to federal rules, the District contribution is subject to Federal Insurance Contribution Act (FICA) tax. That is, it is considered social security wages. Therefore, it is added into the gross pay for each employee. It should then be deducted and not part of the employee’s taxable income. This was not being done, which resulted in an overpayment to the employee in that same amount. Account 1930

shows what was overpaid, and is booked as an asset of the District, but will slowly decrease to zero as that money is recouped back in future paychecks.

Mr. Dean asked about the \$54,811.23 under building and structures. Mr. Arthur stated that he did not know, and he would find out.

Mr. Arthur pointed out a couple of things in the profit and loss portion of the report. Net income is -\$278,256.03. A couple things driving this negative balance is depreciation, security value adjustments, and an expense of \$163,210.04 in account code 5834-ARPA (American Rescue Plan Act) project. This expense is reimbursable with ARPA funds, but the reimbursement had not occurred when this report was generated. Mr. Dean asked if the formatting of the negative value could be displayed differently. Mr. Arthur stated he would find out if that is possible.

Mr. Arthur directed everyone to the Budget vs. Actuals portion of the report. Thus far the District is under budget by \$91,000.00 and the net operating income amount is \$94,700.00.

Mr. Arthur said the LPL Financial account is doing good. The next corporate bond will mature in May 2024 (Pfizer).

Mr. Dean stated the District has about \$6 million and is still growing. Mr. Arthur stated that when budget discussions occur for next fiscal year, there will likely be conversations held regarding potential scenarios of monetary relief for the three member cities.

**D. Action: Pursuant to 82 OKLA. STATUTES, SECTION 541 (D) (10), the Board of Directors shall perform official actions by resolution and all official actions including final passage and enactment of all resolutions must be approved by a majority of the Board of Directors, a quorum being present, at a regular or special meeting. The following items may be discussed, considered, and approved, disapproved, amended, tabled or other action taken:**

4. Minutes of the regular board meeting held on Thursday, October 5, 2023, and corresponding Resolution

Ms. Nairn asked if there were any additions or corrections. Ms. Croft asked to verify if Mr. Niblett attended, and the answer was yes, he did attend.

Hearing no other corrections or additions Ms. Nairn entertained a motion.

Michael Dean made a motion seconded by Espaniola Bowen to approve the minutes, and corresponding Resolution.

Roll call vote:

President Amanda Nairn Yes

Vice President Michael Dean Yes

Treasurer Jann Knotts Absent

Secretary Dave Ballew Yes  
Member Edgar O'Rear Yes  
Member Espaniola Bowen Yes  
Member Steve Carano Yes  
*Motion Passed*

## **E. Discussion**

### 8. Legal Counsel's Report

Dean Couch reported the following activities since his last report:

- Oct 5 attend monthly meeting of Board of Directors
- Oct 13 prepare Notice of Filing Annual Report and file FY-2023 Annual Report with Cleveland County District Court
- Oct 19 participate in virtual conference with Bureau of Reclamation representatives and Kyle Arthur concerning easement for portion of Del City pipeline relocation area
- Oct 20 research legal ownership interests and PUD approval in area of Del City pipeline relocation and send documents with email to Kyle Arthur
- Oct 31 prepare Legal Counsel Report and forward to Kelley Metcalf

### 9. General Manager's Report

Please see document titled "Manager's Report" in the packet.

### 10. President's Report

Ms. Nairn thanked the COMCD staff for a successful Fish Fry event! She noted that Judge Thad Balkman attended for the first time and was very complimentary to all.

Mr. Streets volunteered to help make "homemade" tartar sauce for the next Fish Fry.

11. New business (any matter not known prior to the meeting, and which could not have been reasonably foreseen prior to the posting of the agenda)

None

## **F. Adjourn**

There being no further business, President Nairn adjourned the meeting at 8:25 P.M.

RESOLUTION  
OF  
CENTRAL OKLAHOMA MASTER CONSERVANCY DISTRICT  
REGARDING OFFICIAL ACTION

WHEREAS, a quorum of the Board of Directors of the Central Oklahoma Master Conservancy District met in a regular meeting and considered approval of minutes of a previous meeting.

IT IS HEREBY RESOLVED that minutes of the regular meeting held on Thursday, November 2, 2023, are approved.

APPROVED by a majority of Board members present on this 4th day of January 2024.

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Amanda Nairn, President

Item D.4.

Resolution  
Of  
CENTRAL OKLAHOMA MASTER CONSERVANCY DISTRICT  
REGARDING OFFICIAL ACTION

WHEREAS, a quorum of the Board of Directors of the Central Oklahoma Master Conservancy District met in a regular meeting and considered authorizing the General Manager to develop and solicit Invitations to Bid for new equipment buildings.

IT IS HEREBY RESOLVED that the General Manager is authorized to develop and solicit Invitations to Bid for new equipment buildings.

APPROVED by a majority of Board members present on this 4<sup>th</sup> day of January, 2024.

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Amanda Nairn, President

Item D.5.



Resolution  
Of  
CENTRAL OKLAHOMA MASTER CONSERVANCY DISTRICT  
REGARDING OFFICIAL ACTION

WHEREAS, a quorum of the Board of Directors of the Central Oklahoma Master Conservancy District met in a regular meeting and considered authorizing the General Manager to proceed with appropriate actions, including the solicitation of Invitations to Bid, if necessary, to develop firm cost estimates for cleanout of the Relift Forebay.

IT IS HEREBY RESOLVED that the General Manager is authorized to proceed with appropriate actions, including the solicitation of Invitations to Bid, if necessary, to develop firm cost estimates for cleanout of the Relift Forebay.

APPROVED by a majority of Board members present on this 4<sup>th</sup> day of January, 2024.

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Amanda Nairn, President

Item E.7.

## Manager's Report – January, 2024

- Office Back-up Power Project
  - Picked up new D25 Genset from Warren-Cat
  - Concrete pad poured by staff
  - Electrical Solutions set and secured new generator
  - Currently waiting for Automatic Transfer Switch assembly to be completed and shipped (ETA early March)
- SCADA/Telemetry Upgrade Project
  - Toured Arbuckle Master Conservancy District Telemetry and SCADA system to get an idea of what we would like to have and/or do differently to meet our needs
  - Held meeting with the Sub-Committee for the Telemetry upgrade along with our other contractors to discuss next steps in the project
    - Will begin mapping current system in early 2024
    - Investigating fiber optic options for back-up communication pathway
- New Equipment Buildings
  - Met with two contractors to discuss design options and limitations for new buildings
  - Toured Norman Utilities new buildings to help with our design considerations
- Pump Rehabilitation Project
  - Ordered parts to rebuild Pump #5 at the Relift (Del City Pump)
  - Pulled Pump 6 at the Main Plant to begin the pump rehab/replacement project
    - Pump 6 had a valve that is a part of the prelube system fail, so we chose that one first
    - New valve was ordered and has been received and will be installed with the new pump
      - Ordered one spare
- VFD Replacement Trial
  - Installed new Yaskawa 250 HP VFD
  - Working great!
  - Will continue to use and evaluate
    - Likely wait until the close of the summer season before making any final decisions on further replacements
- Old Office Building Demolition
  - Asbestos survey completed on November 27
  - 33 samples taken; asbestos found in floor tile only
    - We are currently seeking to understand any abatement obligations

- Survey results sent to BOR; awaiting further instructions
- Researched options for Relift Forebay cleanout
- Replaced blow-off gate valve on Norman Pipeline on December 7
- District staff volunteered at Norman Food and Shelter for Friends on December 12
- Held the staff holiday dinner on December 15 at Charleston's
  - Fun was had by all!
- Dam Tender Training by the Bureau of Reclamation
  - Scheduled for Jan 18 at the National Center for Employee Development in Norman
  - Hope to get this training to qualify for our water license renewal training
  - Tim will be a featured speaker
- Renewed Nuisance Wildlife Control Officer (NUCO) license for three of our staff members
- Repair of Front Gates
  - Replaced malfunctioning exit sensors with a sensor called a "Cartell"
- Assisted state parks with a leak repair at Hickory Hill campsite
- Participated in panel discussion at BOR's Annual Managers' Meeting on November 15
- Participated in discussions with a contractor hired by Visit Norman to kick-off a study for enhanced recreational opportunities at Lake Thunderbird
  - Conference call with a group of stakeholders on November 30
  - Individual interview on December 20
- Attended Governor's Water Conference on November 29-30
- Met with the three member cities and their respective Board members to provide an update and discuss current activities
  - Norman on December 12
  - Midwest City on December 18
  - Del City on December 21
  - Meetings were excellent!